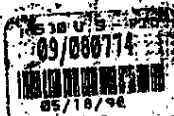


**DX 106**



## PATENT APPLICATION



09080774

INITIALS

5/26/98

## CONTENTS

	Date received (incl. C. of M.) or Date Mailed		Date received (incl. C. of M.) or Date Mailed
1. Application 9 Drawings		42.	
2. Fee Authorization	5-18-98	43.	
3. IDS	2-28-98	44.	
4. Review of PA	5-20-98	45.	
5. Notice of Acceptance	7-28-98	46.	
6. Election Rep (1 month)	8-1-98	47.	
7. Fee of \$100 (2)	10-18-98	48.	
8. Election	11-18-98	49.	
9. Resubmission (3 months)	12/13/98	50.	
10. Amendment/During	3-20-00	51.	
11. Allowance/Examination	04-10-00	52.	
12. FR	1-10-00	53.	
13. Patent Drawing 9 sets	5-23-00	54.	
14.		55.	
15.		56.	
16.		57.	
17.		58.	
18.		59.	
19.		60.	
20.		61.	
21.		62.	
22.		63.	
23.		64.	
24.		65.	
25.		66.	
26.		67.	
27.		68.	
28.		69.	
29.		70.	
30.		71.	
31.		72.	
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(FRONT)

Case No. 04-1371-JJF

DEFT Exhibit No. DX 106

Date Entered

Signature

FCS0000336

09/860774  
05/18/96

327	172	Subclass
Class	Issue Classification	

**U.S. UTILITY PATENT APPLICATION**

Q.I.P.E. *at* PATENT DATE *AUG 22 2008*

SCANNED *MIC* *SA DW*

PATENT NUMBER  
**6107851**  
6107851

SECTOR	CLASS	SUBCLASS	ART UNIT	EXAMINER
	327	172	2816	Zweizig

FILED WITH: ☐ DISK (CRF) ☐ FICHE  
(continued to packet on right inside bag)

**PREPARED AND APPROVED FOR ISSUE**

**ISSUING CLASSIFICATION**

ORIGINAL		CROSS REFERENCE(S)			
CLASS	SUBCLASS	CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)		
327	172	327	531	544	

**INTERNATIONAL CLASSIFICATION**

H03K	3/017						

☐ Continued on issue slip inside File Jacket

<input type="checkbox"/> <b>TERMINAL DISCLAIMER</b>	<b>DRAWINGS</b>			<b>CLAIMS ALLOWED</b>	
	Sheets Drawn 9	Figs. Drawn 9	Print Figs. 3	Total Claims 18	Print Claims for O.G. 1
<input type="checkbox"/> a) The term of this patent subsequent to _____ (date) has been disclaimed.	(Assistant Examiner) _____ (Date) _____			<b>NOTICE OF ALLOWANCE MAILED</b>	
<input type="checkbox"/> b) The term of this patent shall not extend beyond the expiration date of U.S. Patent No. _____	Jeffrey Zweizig Primary Examiner 2816 4/13/08 (Date)			4/10/00 ISSUE FEE Amount Due \$1210.00 Date Paid 5-30-00	
<input type="checkbox"/> c) The terminal _____ months of this patent have been disclaimed.	Serrano (Legal Instruments Examiner) 4/13/08 (Date)			ISSUE BATCH NUMBER K83	

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Form PTO-458A  
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**ISSUED FILE**

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BLAKELEY, SOKOLOFF, TAYLOR, ZAFMAN LLP  
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David T. Switzer

Depositor's name

May 26, 2000

(Signature)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/080,774	05/18/98	018	ZWEIZIG, J	2816 04/10/00
First Named Applicant	BALAKRISHNAN, 35 USC 154(b) term ext. = 0 Days.			

TITLE OF INVENTION OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPL. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 233/248	327-172.000	K83	UTILITY	NO	\$1210.00	07/10/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.335). Use of PTO form(s) and Outgoing Number are recommended, but not required.

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(a) NAME OF ASSIGNEE POWER INTEGRATIONS, INC.

(b) RESIDENCE (CITY &amp; STATE OR COUNTRY)

Sunnyvale, California

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Bradley J. Berezna, Esq. REG. NO. 33,474 5/26/00

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FCS0000338

Due date	5/10/2000	Client Name	Power Integrations, Inc.
Project Initial	AV	3692 . P036	
Arch. Sup. Initial		BJB JYG	
Arch. Sup. Reg.	080,774		
Description			
Issue fee due 7/10/2000			
10/2000			

Due date	4/10/2000	Client Name	Power Integrations, Inc.
Project Initial	AV	3692 . P036	
Arch. Sup. Initial		BJB JYG	
Arch. Sup. Reg.	080,774		
Description			
Format drawings required by 7/10/2000			
10/2000			

Due date	7/10/2000	Client Name	Power Integrations, Inc.
Project Initial	AV	3692 . P036	
Arch. Sup. Initial		BJB JYG	
Arch. Sup. Reg.	080,774		
Description			
Format drawings required			
10/2000			

FCS0000339

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 Blakeley, Sokoloff, Taylor, Zafman LLP  
 12400 WILSHIRE BOULEVARD  
 SEVENTH FLOOR  
 LOS ANGELES CA 90025

**APPLICATION NO.** 09/080,774 **FILED DATE** 05/18/98 **TOTAL CLAIMS** 018 **EXAMINER AND CHECKUP ART UNIT** ZWEIZIG, J **DATE MAILED** 2816 04/10/00

**First Named Applicant** BALAKRISHNAN, **35 USC 154(b) term ext. =** 8 Days.

**TITLE OF INVENTION** OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPL. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 233/248	327-172.000	K83	UTILITY	NO	\$1210.00	07/10/00

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(b) RESIDENCE (CITY & STATE OR COUNTRY)  
**Sunnyvale, California**

Please check the appropriate assignee category indicated below (will not be printed on the patent)

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**Bradley J. Bereznaq, Esq.** **Box 33,474** **5/26/00**

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FCS0000340

PATENT APPLICATION FEE DETERMINATION RECORD Effective October 1, 1997					Application or Docket Number	
<b>CLAIMS AS FILED - PART I</b>						
(Column 1)		(Column 2)		SMALL ENTITY TYPE <input type="checkbox"/>		OR
FOR	NUMBER FILED	NUMBER EXTRA		RATE	FEE	OTHER THAN SMALL ENTITY
BASIC FEE				395.00		780.00
TOTAL CLAIMS	37	minus 20 =	17	x\$11=		x\$22= 374
INDEPENDENT CLAIMS	4	minus 3 =	1	x41=		x82= 82
MULTIPLE DEPENDENT CLAIM PRESENT				+135=		+270=
				TOTAL		TOTAL 1046
* If the difference in column 1 is less than zero, enter "0" in column 2.						
<b>CLAIMS AS AMENDED - PART II</b>						
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	OR
Total	36	Minus	37	x\$11=		x\$22=
Independent	4	Minus	4	x41=		x82=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+135=		+270=
				TOTAL ADDIT. FEE		TOTAL ADDIT. FEE
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	OR
Total	0	Minus	0	x\$11=		x\$22=
Independent	0	Minus	0	x41=		x82=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+135=		+270=
				TOTAL ADDIT. FEE		TOTAL ADDIT. FEE
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	OR
Total	0	Minus	0	x\$11=		x\$22=
Independent	0	Minus	0	x41=		x82=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM				+135=		+270=
				TOTAL ADDIT. FEE		TOTAL ADDIT. FEE
* If the entry in column 1 is less than the entry in column 2, enter "0" in column 3. ** If the "Highest Number Previously Paid For" in THIS SPACE is less than 20, enter "20." *** If the "Highest Number Previously Paid For" in THIS SPACE is less than 3, enter "3." The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.						

FORM PTO-675 (Rev. 6/97)

U.S. Government Printing Office: 1997 - 438-871/8814

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FCS0000341

1. The first part of the document is a title page. It contains the title "THE HISTORY OF THE UNITED STATES OF AMERICA" and the author "BY JAMES MADISON".

**FCS0000342**



## ISSUE SLIP STAPLE AREA (for additional cross references)

POSITION	INITIALS	ID NO.	DATE
FEE DETERMINATION		70803	5/26/98
O.L.P.E. CLASSIFIER		71477	6/30/98
FORMALTY REVIEW	R.D.		

## INDEX OF CLAIMS

✓ \_\_\_\_\_ Rejected      N \_\_\_\_\_ Non-elected  
 = \_\_\_\_\_ Allowed      I \_\_\_\_\_ Interference  
 - (Through mutual) Cancelled      A \_\_\_\_\_ Appeal  
 + \_\_\_\_\_ Restricted      O \_\_\_\_\_ Objected

Claim	Date	Claim	Date	Claim	Date
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12		72		121	
13		73		122	
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If more than 150 claims or 10 actions  
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FCS0000343

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**FCS0000344**

PATENT APPLICATION SERIAL NO. 09/080774

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

05/22/1994 HURDIN 0000040 12003 09080774  
02 FEE:100 775.00 DP  
03 FEE:100 85.00 DP  
04 FEE:100 2.00 CH 375.00 DP

PTO-1556  
(5/87)

FCS0000345

SERIAL NUMBER 09/080,774	FLING DATE 05/18/98	CLASS 327	GROUP ART UNIT 2816	ATTORNEY DOCKET NO. 233/248
<b>APPLICANT</b> HALU BALAKIRSHNAN, SARATOGA, CA; ALEX DJENGUERIAN, SARATOGA, CA; LEIF LUND, SAN JOSE, CA.				
<b>**CONTINUING DOMESTIC DATA*****</b> VERIFIED <u>none</u> <u>JZ</u>				
<b>**371 (NAT'L STAGE) DATA*****</b> VERIFIED <u>none</u> <u>JZ</u>				
<b>**FOREIGN APPLICATIONS*****</b> VERIFIED <u>none</u> <u>JZ</u>				
FOREIGN FILING LICENSE GRANTED 06/01/98				
Foreign Priority claimed 35 USC 119 (a)-(d) conditions met <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Met after Allowance Verified and Acknowledged <u>JZ</u>		STATE OR COUNTRY CA	SHEETS DRAWING 9	TOTAL CLAIMS 37
				INDEPENDENT CLAIMS 4
<b>ADDRESS</b> LYON & LYON FIRST INTERSTATE WORLD CENTER 633 W FIFTH STREET 47TH FLOOR LOS ANGELES CA 90071				
<b>TITLE</b> OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER				
FILING FEE RECEIVED \$1,246	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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 Patent and Trademark Office  
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<b>SERIAL NUMBER</b> 09/080,774	<b>FILING DATE</b> 05/18/1998 <b>RULE</b>	<b>CLASS</b> 327	<b>GROUP ART UNIT</b> 2818	<b>ATTORNEY DOCKET NO.</b> 233/248	
<b>APPLICANTS</b> BALU BALAKRISHNAN, SARATOGA, CA; ALEX DJENGUERIAN, SARATOGA, CA; LEIF LUND, SAN JOSE, CA;					
<b>CONTINUING DATA</b> *****					
<b>FOREIGN APPLICATIONS</b> *****					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b> 05/03/1998					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (e-f) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 9	<b>TOTAL CLAIMS</b> 37	<b>INDEPENDENT CLAIMS</b> 4
<b>ADDRESS</b> BRADLEY J. BEREZNAK, ESQ. BLAKELEY, SOKOLOFF, TAYLOR, ZAFMAN LLP. 12400 WILSHIRE BOULEVARD SEVENTH FLOOR LOS ANGELES, CA 90025					
<b>TITLE</b> OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER					
<b>FILING FEE RECEIVED</b> 1248	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input checked="" type="checkbox"/> 1.16 Fees ( Filing ) <input checked="" type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input checked="" type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other <input type="checkbox"/> Credit		

1 of 1

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FCS0000347

233/248  
PATENT

**SPECIFICATION**

**TITLE OF THE INVENTION**

OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER

5

**BACKGROUND**

**Field Of The Invention**

The field of the present invention pertains to the field of power supplies and among other things to the regulation of power supplies.

**Background Of The Invention**

Power supplies that convert an AC mains voltage to a DC voltage for use by integrated electronic devices, amongst other devices, are known. The power supplies are required to maintain the output voltage, current or power within a regulated range for efficient and safe operation of the electronic device. Switches that operate according a pulse width modulated control to maintain the output voltage, current, or power of the power supply within a regulated range are also known. These switches utilize an oscillator and related circuitry to vary the switching frequency of operation of the switch, and therefore regulated the power, current or voltage that is supplied by the power supply.

20

A problem with utilizing pulse width modulated switches is that they operate at a relatively high frequency compared to the frequency of the AC mains voltage, which results in a high frequency signal being generated by the power supply. This high frequency signal is injected back into the AC mains input and becomes a component of the AC mains signal. The

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Docket No: 233/248  
May 12, 1998

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233/248  
PATENT

high frequency signals are also radiated by the power supply as electromagnetic waves. These high frequency signals add to the Electromagnetic Interference (EMI) of the power supply, and in fact are the largest contributors to the EMI of the power supply. The EMI generated by the power supply can cause problems for communications devices in the vicinity of the power supply and the high frequency signal which becomes a component of the AC mains signal will be provided to other devices in the power grid which also causes noise problems for those devices. Further, the radiated EMI by the power supply can interfere with radio and television transmissions that are transmitted over the air by various entities.

To combat the problem of EMI, several specifications have been developed by the Federal Communications Commission (FCC) in the United States and the European Community (EC) have established specification that specify the maximum amount of EMI that can be produced by classes of electronic devices. Since power supplies generate a major component of the EMI for electronic devices, an important step in designing a power supply is minimizing the EMI provided by the power supply to levels with the acceptable limits of the various standards. Since, a power supply can be utilized in many different countries of the world, the EMI produced should be within the most stringent limits worldwide to allow for maximum utilization of the power supply.

A known way of minimizing the EMI provided by the power supply is by adding an EMI filter to the input of the power supply. An EMI filter generally utilizes at least one inductor, capacitor and resistor in combination. However, the greater EMI produced by the power supply the larger the components that are utilized as part of the EMI filter. The cost of the EMI filter is in large part determined by the size of the inductor and capacitor utilized. The longer the

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Docket No. 233/248  
May 18, 1998

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FCS0000349

233/248  
PATENT

components, the higher the cost of the power supply. Further, simply utilizing an EMI filter does not address the radiated EMI.

Another problem associated with pulse width modulated switches results from operation of the power supply at start up. At start up, the voltage, current and power at the output of the power supply will essentially be zero. The pulse width modulated switch will then conduct for the maximum possible amount of time in each cycle of operation. The result of this is a maximum inrush current into the power supply. The maximum inrush current is greater than the current that is utilized during normal operation of the power supply. The maximum inrush current stresses the components of power supply and switch. Stress is specifically a problem for the switch, or transistor, the transformer of the power supply, and the secondary side components of the power supply. The stress caused by the maximum inrush current decreases the overall life of the power supply and increases the cost of the power supply because the maximum rating of the components used in the power supply to not destruct from the inrush currents will be greater than the maximum rating required for normal operation.

Further, when the pulse width modulated switch conducts for the maximum possible amount of time in each cycle of operation the voltage, current and power at the output of the power supply rise rapidly. Since the feedback circuit of the power supply often does not respond as fast as the operating frequency of the switch, the rapid rise of the voltage, current and power will often result in an overshoot of the maximum voltage in the regulation range which will cause damage to the device being supplied power by the power supply.

Referring to Fig. 1 a known power supply that attempts to minimize EMI and reduce startup stress is depicted. A rectifier 10 rectifies the filtered AC mains voltage 5, from EMI filter 120, input by the AC mains to generate a rectified voltage 15. Power supply capacitor 20 then



233/248  
PATENT

generates a substantially DC voltage with a ripple component. The rectified voltage 15 with ripple component is provided to the primary winding 35 of transformer 40 that is used to provide power to secondary winding 45. The output of secondary winding 45 is provided to secondary rectifier 50 and secondary capacitor 55 that provide a secondary DC voltage 60 at the power supply output 65 to the device that is coupled to the power supply.

In order to maintain the secondary DC voltage within a regulate range a feedback loop including an optocoupler 70, zener diode 75 and a feedback resistor 80 provides a signal indicative of the voltage at the power supply output 65 to feedback pin 85 of pulse width modulated switch 90. The voltage magnitude at the feedback terminal is utilized to vary the duty cycle of a switch coupled between the drain terminal 95 and common terminal 100 of the pulse width modulated switch 90. By varying the duty cycle of the switch the average current flowing through the primary winding and therefore the energy stored by the transformer 40 which in turn controls the power supplied to the power supply output 65 is kept within the regulated range. A compensation circuit 105 is coupled to the feedback pin 85 in order to lower the bandwidth of the frequency of operation of the pulse width modulator.

Inrush currents are minimized at start up by use of soft start capacitor 110. Soft start functionality is termed to be a functionality that reduces the inrush currents at start up. At this instant a current begins to flow through feedback resistor 80 and thereby into soft start capacitor 110. As the voltage of soft start capacitor 110 increases slowly, current will flow through light emitting diode 115 of optocoupler 70 thereby controlling the duty cycle of the switch. Once the voltage of the soft start capacitor 110 reaches the reverse breakdown voltage of zener diode 75 current will flow through zener diode 75. The approach described above will reduce the inrush currents into the power supply, however, it will be several cycles before the light emitting diode

Express Mail No. EM563810388US  
Docket No. 233/248  
May 12, 1998

FCS0000351

233/248  
PATENT

115 will begin conducting. During the several cycles the maximum inrush current will still flow through the primary winding and other secondary side components. During these cycles the transformer may saturate, and therefore the transformer may have to be designed utilizing a higher core size than would be required for normal operation even with the use of soft start capacitor as in Fig. 1.

To reduce the EMI output by the power supply an EMI filter 120 is utilized. Additionally, pulse width modulated switch 90 is equipped with frequency oscillation terminals 125 and 130. Frequency oscillation terminal 125 and 130 receive a jitter current 135 that varies according to the ripple component of substantially DC voltage 25. The jitter current 135 is used to vary the frequency of the saw-toothed waveform generated by the oscillator contained in the pulse width modulated switch 90. The saw toothed waveform generated by the oscillator is compared to the feedback provided at the feedback pin 85. As the frequency of the saw toothed waveform varies, so will the switching frequency of the switch coupled between the drain and common terminal. This allows the switching frequency of the switch to be spread over a larger bandwidth, which minimizes the peak value of the EMI generated by the power supply at each frequency. By reducing the EMI the ability to comply with government standards is increased, because the government standards specify quasi-peak and average values at given frequency levels. Varying the frequency of operation of the pulse width modulated switch by varying the oscillation frequency of the oscillator is referred to as frequency jitter.

A problem associated with the EMI reduction scheme described with respect to Fig. 1 is that the ripple component will have variances due to variations in the line voltage and output load. Additionally, since the ripple may vary, design and the component value of EMI resistor

Express Mail No. E8456310788121  
Docket No: 233/248  
May 18, 1998

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233/248  
PATENT

140 is difficult to determine and correspondingly design of the power supply becomes problematic.

#### SUMMARY OF THE INVENTION

5 In one embodiment the present invention comprises a pulse width modulated switch comprising a switch that allows a signal to be transmitted between a first terminal and a second terminal according to a drive signal. The pulse width modulated switch also comprises a frequency variation circuit that provides a frequency variation signal and an oscillator that provides an oscillation signal having a frequency that varies within a frequency range according to the frequency variation signal. The oscillator further provides a maximum duty cycle signal comprising a first state and a second state. The pulse width modulated circuit further comprises a drive circuit that provides the drive signal when the maximum duty cycle signal is in the first state and a magnitude of the oscillation signal is below a variable threshold level.

Another embodiment of the present invention comprises a pulse width modulated switch comprising a switch comprising a control input, the switch allowing a signal to be transmitted between a first terminal and a second terminal according to a drive signal. The pulse width modulated switch also comprises an oscillator that provides a maximum duty cycle signal comprising an on-state and an off-state, a drive circuit that provides the drive signal, and a soft start circuit that provides a signal instructing said drive circuit to disable the drive signal during  
20 at least a portion of said on-state of the maximum duty cycle.

In an alternate embodiment the present invention comprises a regulation circuit comprising a switch that allows a signal to be transmitted between a first terminal and a second

Express Mail No. E245634103480US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

terminal according to a drive signal, a drive circuit that provides the drive signal and a soft start circuit that provides a signal instructing the drive circuit to disable the drive signal.

In yet another embodiment the present invention comprises a regulation circuit comprising a switch that allows a signal to be transmitted between a first terminal and a second terminal according to a drive signal, a frequency variation circuit that provides a frequency variation signal, and a drive circuit that provides a drive signal for a maximum time period of a time duration cycle. The time duration of the cycle varies according to the frequency variation signal.

In the above referenced embodiments the pulse width modulated switch or regulation circuit may comprise a monolithic device.

An object of an aspect of the present invention is directed to a pulse width modulated switch that has integrated soft start capabilities.

Another object of an aspect of the present invention is directed toward a pulse width modulated switch that has integrated frequency variation capabilities.

Yet another object of an aspect of the present invention is directed toward a pulse width modulated switch that has integrated frequency variation capabilities and integrated soft start capabilities.

A further object of an aspect of the present invention is directed toward a low cost regulated power supply that has both soft start and frequency variation capabilities.

This and other objects and aspects of the present inventions are taught, depicted and described in the drawings and the description of the invention contained herein.

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Express Mail No. 954563810382US  
Docket No. 233/248  
May 18, 1998

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233/248  
PATENT

**BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1 is a known power supply utilizing a pulse width modulated switch, and external soft start, and frequency jitter functionality.

Fig. 2 is a presently preferred power supply utilizing an pulse width modulated switch  
5 according to the present invention.

Fig. 3 is a presently preferred pulse width modulated switch according to the present invention.

Fig. 4 is a timing diagram of the soft start operation of the presently preferred pulse width modulated switch according to the present invention.

Fig. 5 is a timing diagram of the frequency jitter operation of the presently preferred pulse width modulated switch according to the present invention.

Fig. 6 is an alternate presently preferred pulse width modulated switch according to the present invention.

Fig. 7 is a timing diagram of the operation of the alternate presently preferred pulse width modulated switch of Fig. 6 according to the present invention.

Fig. 8 is a presently preferred power supply utilizing a regulation circuit according to the present invention.

Fig. 9 is a presently preferred regulation circuit according to the present invention.

**DESCRIPTION OF THE PREFERRED EMBODIMENT**

Referring to Fig. 2, EMI filter 200 is coupled to an AC mains voltage 205. The AC mains voltage 205 is rectified by rectifier 210. The rectified voltage 215 is provided to power supply capacitor 220 which provides a substantially DC voltage 225. The substantially DC

Express Mail No. E4563410389US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

voltage 225 is provided to the primary winding 230 of transformer 235 which stores the energy provided to the primary winding 230. When the primary winding 230 is no longer receiving energy, energy is delivered by the transformer 235 to the secondary winding 240. The voltage induced across the secondary winding 240 is rectified by rectifier 245 and then transformed into secondary substantially DC voltage 265 by secondary capacitor 260 and provided to the power supply output 267.

Energy is no longer provided to the primary winding 230 when the pulse width modulated switch 262, which is coupled to the primary winding 230, ceases conduction. Pulse width modulated switch 262 is a switch that is controlled by a pulse width modulated signal. Pulse width modulated switch 262 conducts and ceases conduction according to a duty cycle, that is in part determined by feedback from the power supply output 267. Pulse width modulated switch 262 is a switch that operates according to pulse width modulated control. Feedback to the pulse width modulated switch 262 is accomplished by utilization of feedback circuit 270, which is presently preferred to comprise a zener diode 275 in series with a resistor 280 and optocoupler 285. Optocoupler 285 provides a feedback current 290 to feedback terminal 295 of pulse width modulated switch 262. The feedback current is utilized to vary the duty cycle of a switch coupled between the first terminal 300 and second terminal 305 and thus regulate the output voltage, current or power of the power supply.

Although, it is presently preferred that the output voltage is utilized for feedback, the present invention is also capable of utilizing either the current or power at the power supply output 267 without departing from the spirit and scope of the present invention.

A portion of the current supplied at the feedback terminal 295 is utilized to supply bias power for operation of the pulse width modulated switch 262. The remainder of the current

233/248  
PATENT

input at the feedback terminal 295 is utilized to control the duty cycle of the pulse width modulated switch 262, with the duty cycle being inversely proportional to the feedback current.

A bias winding 310 is utilized to bias optocoupler 285 so that a feedback current can flow when light emitting diode 315 of optocoupler 285 conducts. The power supplied by the bias winding 310 is also used to charge pulse width modulation capacitor 330, the energy from which is utilized to power the pulse width modulated switch 262.

Overvoltage protection circuit 335 is utilized to prevent overvoltages from propagating through to the transformer 235.

Pulse width modulated switch 262 is supplied power during start up of the power supply by current flowing into the first terminal 300. An embodiment of one type of apparatus and method for designing a configuration for providing power to pulse width modulated switch through first terminal 300 is disclosed in commonly owned U.S. Patent No. 5,014,178 which is incorporated herein by reference in its entirety.

The drain terminal 300, source terminal 305 and feedback terminal 295 are the electrical input and/or output points of the pulse width modulated switch 262. They need not be part of a monolithic device or integrated circuit, unless the pulse width modulated switch 262 is implemented utilizing a monolithic device or integrated circuit.

Pulse width modulated switch 262 also may have soft start capabilities. When the device to which the power supply is coupled is switched on, a power up signal is generated within the internal circuitry of pulse width modulated switch 262. The power up signal is used to trigger soft start circuitry that reduces the duty cycle of the switch that operates within the pulse width modulated switch 262 for a predetermined period of time, which is presently preferred to be ten

233/248  
PATENT

(10) milliseconds. Once soft start operation is completed, pulse width modulated switch 262 operates according to its regular duty cycle.

Alternatively, or in addition to soft start functionality, pulse width modulated switch 262 may also have frequency jitter functionality. That is, the switching frequency of the pulse width modulated switch 262 varies according to an internal frequency variation signal. This has an advantage over the frequency jitter operation of Fig. 1 in that the frequency range of the presently preferred pulse width modulated switch 262 is known and fixed, and is not subject to the line voltage or load magnitude variations. At low powers, those less than approximately ten (10) watts, the common mode choke which is often utilized as part of the EMI filter 120 can be replaced with inductors or resistors.

As can be seen when comparing the power supply of Fig. 1 to that of Fig. 2 the number of components utilized is reduced. This reduces the overall cost of the power supply as well as reducing its size.

Referring to Fig. 3, frequency variation signal 400 is utilized by the pulse width modulated switch 262 to vary its switching frequency within a frequency range. The frequency variation signal 400 is provided by frequency variation circuit 405, which preferably comprises an oscillator that operates at a lower frequency than main oscillator 465. The frequency variation signal 400, is presently preferred to be a triangular waveform that preferably oscillates between four point five (4.5) volts and one point five (1.5) volts. Although the presently preferred frequency variation signal 400 is a triangular waveform, alternate frequency variation signals such as ramp signals, counter output signals or other signals that vary in magnitude during a fixed period of time may be utilized as the frequency variation signal.



233/248  
PATENT

The frequency variation signal 400 is provided to soft start circuit 410. During operation soft start circuit 410 is also provided with pulse width modulation frequency signal 415 and power up signal 420. Soft start enable signal 421 goes high at power up and remains high until oscillator signal 400 reaches its peak value for the first time. Soft start circuit 410 will provide a signal to or-gate 425 to reset latch 430 thereby deactivating conduction by the switch 435, which is presently preferred to be a MOSFET. Soft start circuit 410 will instruct switch 435 to cease conduction when the soft start enable signal 421 is provided and the magnitude of the frequency variation signal 400 is less than the magnitude of pulse width modulation signal 415. In other words, start up circuit 410 will allow the switch 435 to conduct as long as soft start enable signal is high and the magnitude of the pulse width modulation signal 415 is below the magnitude of frequency variation signal 400 as depicted in Fig. 4. In this way, the inrush current at startup will be limited for all cycles of operation, including the first cycle. By limiting the inrush current during all cycles of startup operation, the maximum current through each of the components of the power supply is reduced and the maximum current rating of each component can be decreased. The reduction in the ratings of the components reduces the cost of the power supply. Soft start signal 440 will no longer be provided by the frequency variation circuit 405 when the frequency variation signal 400 reaches its peak magnitude.

Operation of soft start circuit 410 will now be explained. Soft start circuit 410 comprises a soft start latch 450 that at its set input receives the power up signal 420 and its reset input receives the soft start signal 440. Soft start enable signal 421 is provided to one input of soft start and-gate 455 while the other input of soft start and-gate 455 is provided with an output from soft start comparator 460. The output of soft start comparator 460 will be high when the

233/248  
PATENT

magnitude of frequency variation signal 400 is less than the magnitude of pulse width modulation oscillation signal 415.

The pulse width modulated switch 262 depicted in Fig. 3 also has frequency jitter functionality to help reduce the EMI generated by the power supply and pulse width modulated switch 262. Operation of the frequency jitter functionality will now be explained. Main oscillator 465 has a current source 470 that is mirrored by mirror current source 475. Main oscillator drive current 615 is provided to the current source input 485 of PWM oscillator 480. The magnitude of the current input into current source input 485 of PWM oscillator 480 determines the frequency of the pulse width modulation oscillation signal 415 which is provided by PWM oscillator 480. In order to vary the frequency of pulse width modulation oscillation signal 415, an additional current source 495 is provided within main oscillator 465. The additional current source 495 is mirrored by additional current source mirror 500. The current provided by additional current source 495 is varied as follows. Frequency variation signal 400 is provided to the gate of main oscillator transistor 505. As the magnitude of frequency variation signal 400 increases so does the voltage at the source of main oscillator transistor 505, due to the increasing voltage at the gate of main oscillation transistor and the relatively constant voltage drop between the gate and source of the main oscillation transistor 505. As the voltage at the source of main oscillation transistor 505 increases so does the current flowing through the main oscillation resistor 510. The current flowing through main oscillation resistor 510 is the same as the current flowing through additional current source 495 which is mirrored by additional current source mirror 500. Since, the presently preferred frequency variation signal 400 is a triangular waveform having a fixed period, the magnitude of the current input by additional current source mirror 500 will vary linearly with the magnitude of the rising and falling edges of the frequency

233/248  
PATENT

variation signal 400. If the frequency variation signal 400 is a ramp signal, the frequency would linearly rise to a peak and then immediately fall to its lowest value. In this way, the current provided to current source input 485 of PWM oscillator 480 is varied in a known fixed range that allows for easy and accurate frequency spread of the high frequency current generated by the pulse width modulated switch. Further, the variance of the frequency is determined by the magnitude of the current provided by additional current source mirror 500, which is in turn a function of the resistance of main oscillation resistor 510.

Frequency variation circuit 405 includes a current source 525 that produces a fixed magnitude current 530 that determines the magnitude of the frequency of the frequency variation signal 400. Although, the presently preferred current 530 has a fixed magnitude, the frequency variation signal can be generated utilizing a variable magnitude current, if a variable current is generated the frequency spread would not be fixed in time but would vary with the magnitude of current 530. The fixed magnitude current 530 is fed into first transistor 535, mirrored by second transistor 540 and fed into third transistor 545. The frequency variation signal 400 is generated by the charging and discharging of frequency variation circuit capacitor 550. Frequency variation circuit capacitor 550 is presently preferred to have a relatively low capacitance, which allows for integration into a monolithic chip in one embodiment of the pulse width modulated switch 262. The frequency variation signal 400 is provided to upper limit comparator 555 and lower limit comparator 560. The output of upper limit comparator 555 will be high when the magnitude of the frequency variation signal 400 exceeds the upper threshold voltage 552 which is presently preferred to be four point five (4.5) volts. The output of lower limit comparator 560 will be high when the magnitude of frequency variation signal 400 exceeds lower threshold voltage 557 which is presently preferred to be one point five volts (1.5) volts. The output of

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Express Mail No. BM56381031805  
Docket No: 233/248  
May 18, 1998

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233/248  
PATENT

upper limit comparator 555 is provided to the frequency variation circuit inverter 565 the output of which is provided to the reset input of frequency variation circuit latch 570. The set input of frequency variation circuit latch 570 receives the output of lower limit comparator 560. In operation, the output of lower limit comparator 560 will be maintained high for the majority of each cycle of frequency variation signal 400 because the magnitude of frequency variation signal will be maintained between upper threshold 552, 4.5 volts, and the lower threshold 557, 1.5 volts. The output of upper limit comparator 555 will be low until the magnitude of frequency variation signal 400 exceeds upper level threshold 552. This means that the reset input will receive a high signal until the magnitude of the frequency variation signal 400 rises above the upper threshold signal 552.

The charge signal 575 output by frequency variation circuit latch 570 will be high until the frequency variation signal 400 exceeds the upper threshold limit signal 552. When the charge signal 575 is high, transistors 585 and 595 are turned off. By turning off transistors 585 and 595 current can flow into frequency variation circuit capacitor 550, which steadily charges frequency variation circuit capacitor 550 and increases the magnitude of frequency variation signal 400. The current that flows into frequency variation circuit capacitor 550 is derived from current source 525 because the current through transistor 590 is mirrored from transistor 580, which is mirrored from transistor 535.

During power up, when power-up signal 420 is low, the output of inverter 605 is high which turns on transistor 600 causing frequency variation signal 400 to go low. The frequency variation signal 400 is presently preferred to start from its lowest level to perform the soft start function during its first cycle of operation.

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Express Mail No. EM456381038EUS  
Docket No. 233/248  
May 18, 1998

FCS0000362

233/248  
PATENT

Steady-state operation of the pulse width modulated switch 262, i.e. non start up operation, will now be described. PWM oscillator 480 provides pulse width modulation oscillation signal 415 to pulse width modulation comparator 609, the output of which will be high when the magnitude of pulse width modulation signal 415 is greater than the magnitude of a feedback signal 296 which is a function of the input provided at feedback terminal 295. When the output of pulse width modulation comparator 609 is high or-gate 425 is triggered to go high, which in turn resets pulse width modulation latch 430, removing the on signal from the control input switch 435, thereby turning off switch 435. Pulse width modulation latch 430 is set by clock signal 603, which is provided at the beginning of each cycle of pulse width modulation oscillator 480. Drive circuit 615, which is presently preferred to be an and-gate, receives the output of pulse width modulation latch 430, power up signal 420, and maximum duty cycle signal 607. As long as each one of the signals is high, drive signal 610 is provided to the gate of MOSFET 435, which is coupled between first terminal 300 and second terminal 305 of the pulse width modulated switch 262. When any of the output of pulse width modulation latch 430, power up signal 420, or maximum duty cycle signal 607 goes low drive signal 610 is no longer provided and switch 435 ceases conduction.

Referring to Fig. 4, frequency variation signal 400 preferably has a period, which is greater than that of pulse width modulated oscillation signal 415. The presently preferred period for frequency variation signal 400 is twenty (20) milliseconds, in order to allow for a smooth start up period which is sufficiently longer than the period of pulse width modulated signal 415 which is presently preferred to be ten (10) microseconds. Drive signal 610 will be provided only when the magnitude of pulse width modulated signal 415 is less than the magnitude of frequency

Express Mail No. E84563810288US  
Docket No. 233/248  
May 11, 1998

233/248  
PATENT

variation signal 400. Further, frequency variation signal 400 will be preferably initiated starting from low voltage when power up signal 420 is provided.

Referring to Fig. 5, frequency variation signal 400 which is presently preferred to have a constant period is provided to the main oscillator 465. The magnitude of the pulse width modulator current 615 will approximately be the magnitude of frequency variation signal 400 divided by the resistance of resistor 510 plus the magnitude of the current produced by current source 470. In this way the pulse width modulator current 615 will vary with the magnitude of the frequency variation signal 400. The result is that the frequency of pulse width modulation signal is varied according to the magnitude of this current. It is presently preferred that the pulse width modulator current source produces a constant current having a magnitude of twelve point one (12.1) microamperes, and that frequency variation signal induced current 627 varies between zero (0) and eight hundred (800) nanoamperes. Thereby spreading the frequency of operation of the pulse width modulation oscillator 480 and reducing the average magnitude and the quasi-peak magnitude at all frequency levels of the EMI generated by the power supply.

Referring to Fig. 6, an alternate presently preferred pulse width modulated switch 262 includes all of the same components as described with respect to Fig. 3. In addition to these components, a second frequency variation circuit current source 660 and transistor 655 are added to the frequency variation circuit 405. Transistor 655 is activated only when the output of soft start latch 450 goes low. When transistor 655 is activated the current provided to the frequency variation circuit 405 increases as does the frequency of frequency variation signal 400. However, transistor 655 will only be turned on when the output of soft start latch 450 goes low, i.e. when the magnitude of frequency variation signal 400 first reaches the upper threshold after power up. The period of frequency variation signal 400 will then increase after its first half

233/248  
PATENT

cycle. This will decrease the period of the cycle during which the frequency is spread, without decreasing the frequency range. The benefit of the decreased cycle period will further decrease the quasi-peak levels of the EMI due to spending less time at each frequency level.

Referring to Fig. 7, operation of the frequency variation circuit 405 of Fig. 6 is depicted.

5 Frequency variation signal 405 will preferably have a period of ten (10) milliseconds for its first half cycle. After that, when the transistor 655 is turned on the period is preferably decreased to five (5) milliseconds. Pulse width modulated switch 262 is presently preferred to be a monolithic device.

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Referring to Fig. 8, a power supply comprises a bridge rectifier 710 that rectifies an input AC mains voltage. Power supply capacitors 720 charge with the rectified AC mains voltage to maintain an input DC voltage 725. A presently preferred range for input DC voltage 725 is approximately one hundred (100) to four hundred (400) volts to allow for operation based upon worldwide AC mains voltages which range between eighty five (85) and two hundred sixty five (265) volts. The presently preferred power supply also includes harmonic filter components 910 which in combination with capacitors 720 reduce the harmonic current injected back into the power grid. Transformer 730 includes a primary winding 740 magnetically coupled to secondary winding 750. The secondary winding 750 is coupled to a diode 760 that is designed to prevent current flow in the secondary winding 750 when the regulation circuit 850 is conducting (on-state). A capacitor 770 is coupled to the diode 760 in order to maintain a continuous voltage on a load 780 which has a feedback circuit coupled to it. A presently preferred feedback circuit comprises an optocoupler 800 and zener diode 820. The output of optocoupler 800 is coupled to the feedback terminal 825 of regulation circuit 850. The presently preferred regulation circuit 850 switches on and off at a duty cycle that is constant at a given input DC voltage 725. A

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233/248  
PATENT

regulation circuit power supply bypass capacitor 860 is coupled to and supplies power to regulation circuit 850 when the regulation circuit 850 is in the on-state.

Operation of the power supply will now be described. An AC mains voltage is input through EMI filter 700 into bridge rectifier 710 which provides a rectified signal to power supply capacitors 720 that provide input DC voltage 725 to primary winding 740. Regulation circuit 850, which preferably operates at a constant frequency and about constant duty cycle at a given input DC voltage 725, allows current to flow through primary winding 740 during its on state of each switching cycle and acts as open circuit in its off state. When current flows through primary winding 740 transformer 730 is storing energy, when no current is flowing through primary winding 740 any energy stored in transformer 730 is delivered to secondary winding 750. Secondary winding 750 then provides the energy to capacitor 770. Capacitor 770 delivers power to the load 780. The voltage across the load 780 will vary depending on the amount of energy stored in the transformer 730 in each switching cycle which is in turn dependent on the length of time current is flowing through primary winding 740 in each switching cycle which is presently preferred to be constant at a given input DC voltage 725. The presently preferred regulation circuit 850 allows the voltage delivered to the load to be maintained at a constant level.

It is presently preferred that the sum of the voltage drop across optocoupler 800 and the reverse break down voltage of zener diode 820 is approximately equal to the desired threshold level. When the voltage across the load 780 reaches the threshold level, current begins to flow through the optocoupler 800 and zener diode 820 that in turn is used to disable the regulation circuit 850. Whenever regulation circuit 850 is in the off-state the regulation circuit power supply bypass capacitor 860 is charged to the operating supply voltage, which is presently

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Express Mail No. E456341028813  
Docket No: 233/248  
May 18, 1998

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233/248  
PATENT

preferred to be five point seven (5.7) volts by allowing a small current to flow from bypass terminal 865 to the regulation circuit power supply bypass capacitor 860. Regulation circuit power supply bypass capacitor 860 is used to supply power to operate regulation circuit 850 when it is in the on-state.

5 When the regulation circuit 850 is disabled, an open circuit condition is created in primary winding 740 and transformer 730 does not store energy. The energy stored in the transformer 730 from the last cycle of regulation circuit 850 is then delivered to secondary winding 750 which in turn supplies power to the load 780. Once the remaining energy in transformer 750 is delivered to the load 780 the voltage of the load 780 will decrease. When the voltage at the load 780 decreases below the threshold level, current ceases to flow through optocoupler 800 and regulation circuit 850 resumes operation either instantaneously or nearly instantaneously.

The presently preferred regulation circuit 850 has a current limit feature. The current limit turns off the regulation circuit 850, when the current flowing through the regulation circuit 850 rises above a current threshold level. In this way regulation circuit 850 can react quickly to changes such as AC ripple that occur in the rectified AC mains voltage, and prevents the propagation of the voltage changes to the load. The current limit increases the responsiveness of the regulation circuit to input voltage changes and delivers constant power output independent of the AC mains input voltage.

20 Although the presently preferred power supply of Fig. 8 utilizes current mode regulation and a feedback circuit that includes an optocoupler and zener diode, the present invention is not to be construed as to be limited to such a feedback method or circuit. Either current or voltage mode regulation may be utilized by the present invention without departing from the spirit and

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Express Mail No. BM56381034US  
DocId: 233/248  
May 18, 1998

FCS0000367

233/248  
PATENT

scope of the present invention so long as a signal indicative of the power supplied to the load is supplied to the feedback terminal 825 of the regulation circuit 850. Additionally, although the presently preferred power supplies both utilize an optocoupler and zener diode as part of feedback circuits other feedback circuits may be utilized by the present invention without departing from the spirit and scope of the present invention.

Regulation circuit 850 also may have integrated soft start capabilities. When the device to which the power supply is coupled is switched on, a power up signal is generated within the internal circuitry of regulation circuit 850. A power up signal is used to trigger soft start circuitry that reduces the duty cycle of the switch that operates within the pulse width modulated switch 262 for a predetermined period of time, which is presently preferred to be ten (10) milliseconds. Once soft start operation is completed, regulation circuit 850 operates according to its regular duty cycle.

Alternatively, or in addition to soft start functionality, regulation circuit 850 may also have frequency jitter functionality. That is, the switching frequency of the regulation circuit 850 varies according to an internal frequency variation signal. This has an advantage over the frequency jitter operation of Fig. 1 in that the frequency range of the presently regulation circuit 850 is known and fixed, and is not subject to the line voltage or load magnitude variations.

Referring to Fig. 9, frequency variation circuit 405 and main oscillator 465 function as described with respect to Fig. 3. In operation it is the variance of the high and low states of maximum duty cycle signal 607 that generates the frequency jitter functionality of the regulation circuit 850. A presently preferred regulation circuit 850 and its steady-state operation is depicted and described in copending patent application serial No. 09/032,520 which is hereby incorporated by reference in its entirety.

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Express Mail No. E4563810384US  
Docket No. 233/248  
May 16, 1998

FCS0000368

233/248  
PATENT

The regulation circuit of Fig. 9 can be modified to include a second current source to further increase the period of main oscillation signal 415 which achieves the same result and function as described with respect of Figs. 6 and 7.

5 The soft start functionality of the presently preferred regulation circuit 850 of Fig. 9, will shorten the on-time of switch 435 to less than the time of the maximum duty cycle signal 607 as long as the soft start enable signal 421 is provided and the magnitude of frequency variation signal 400 is less than the magnitude of main oscillation signal 415.

The presently preferred regulation circuit 850 preferably comprises a monolithic device.

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While the embodiments, applications and advantages of the present invention have been depicted and described, there are many more embodiments, applications and advantages possible without deviating from the spirit of the inventive concepts described herein. Thus, the inventions are not to be restricted to the preferred embodiments, specification or drawings. The protection to be afforded this patent should therefore only be restricted in accordance with the spirit and intended scope of the following claims.

Express Mail No. EM563810380US  
Docket No: 233/248  
May 18, 1998

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233/248  
PATENT

CLAIMS

What Is Claimed Is:

1. A pulse width modulated switch comprising:

a first terminal;

5 a second terminal;

a switch comprising a control input, said switch allowing a signal to be transmitted between said first terminal and said second terminal according to a drive signal provided at said control input;

a frequency variation circuit that provides a frequency variation signal;

an oscillator that provides an oscillation signal having a frequency range, said frequency of said oscillation signal varying within said frequency range according to said frequency variation signal, said oscillator further providing a maximum duty cycle signal comprising a first state and a second state; and

a drive circuit that provides said drive signal when said maximum duty cycle signal is in said first state and a magnitude of said oscillation signal is below a variable threshold level.

2. The pulse width modulated switch of claim 1 wherein said first terminal, said second terminal, said switch, said oscillator, said frequency variation circuit and said drive circuit comprise a monolithic device.

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3. The pulse width modulated switch of claim 1 wherein said frequency variation circuit comprises an additional oscillator that provides said frequency variation signal to said

23 24

Express Mail No. BM36381088US  
Docket No. 233/248  
May 18, 1998

FCS0000370

233/248  
PATENT

oscillator, said frequency of said oscillation signal varying within said frequency range according to said frequency variation signal.

4. The pulse width modulated switch of claim 1 further comprising a soft start circuit that provides a signal instructing said drive circuit to discontinue said drive signal when a magnitude of said oscillation signal is greater than a magnitude of said frequency variation signal.

5. The pulse width modulated switch of claim 4 wherein said additional oscillator provides a soft start signal, and wherein said soft start circuit ceases operation when said soft start signal is removed.

6. The pulse width modulated circuit of claim 5 wherein said additional oscillator further comprises a comparator that provides a comparator signal when a magnitude of a reference signal is greater than or equal to a magnitude of said frequency variation signal, and an inverter that receives said comparator signal and provides said soft start signal.

7. The pulse width modulated switch of claim 1 wherein said frequency of said oscillation signal varies within said frequency range with a magnitude of said frequency variation signal.

Express Mail No. EAC5638103BULS  
Docket No. 233/248  
May 18, 1998

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233/248  
PATENT

8. The pulse width modulated switch of claim 1 wherein said oscillator comprises a  
an input that receives said frequency variation signal and a current source, wherein said  
frequency of said oscillation signal is a function of a sum of a magnitude of a current provided  
by said current source and a magnitude of said frequency variation signal.

9. The pulse width modulated switch of claim 1 further comprising  
a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving  
an AC mains signal and said rectifier output providing a rectified signal;  
a power supply capacitor that receives said rectified signal and provides a substantially  
DC signal;  
a first winding comprising a first terminal and a second terminal, said first winding  
receiving said substantially DC signal, said second terminal of said first winding coupled to said  
first terminal of said pulse width modulated switch; and  
a second winding magnetically coupled to said first winding, said first winding capable of  
being coupled to a load.

10. The pulse width modulated switch of claim 1 wherein said variable threshold  
level is a function of a feedback signal received at a feedback terminal of said pulse width  
modulated switch.

20  
11. A pulse width modulated switch comprising  
a first terminal;  
a second terminal;

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Express Mail No. E24563410386US  
Docket No. 233/248  
May 18, 1998

FCS0000372

233/248  
PATENT

a switch comprising a control input, the switch allowing a signal to be transmitted between said first terminal and said second terminal according to a drive signal provided at said control input;

an oscillator that provides a maximum duty cycle signal comprising an on-state and an  
5 off-state;

a drive circuit that provides said drive signal according to said maximum duty cycle signal; and

a soft start circuit that provides a signal instructing said drive circuit to disable said drive signal during at least a portion of said on-state of said maximum duty cycle.

12. The pulse width modulated switch of claim 11 wherein said a first terminal, said second terminal, said switch, said oscillator, said drive circuit and said soft start circuit comprise a monolithic device.

13. The pulse width modulated switch of claim 11 further comprising an additional oscillator that provides a soft start signal to said soft start circuit, and wherein when said soft start signal is removed said soft start circuit ceasing operation.

14. The pulse width modulated circuit of claim 13 wherein said additional oscillator  
20 further comprises

a comparator that provides a comparator signal when a magnitude of a reference signal is greater than or equal to a magnitude of said frequency variation oscillation signal, and

an inverter that receives said comparator signal and provides said soft start signal.

FCS0000373

233/248  
PATENT

15. The pulse width modulated switch of claim 11 further comprising a frequency variation circuit that provides a frequency variation signal, wherein said oscillator provides an oscillation signal and wherein said soft start circuit provides said signal instructing said drive circuit to disable said drive signal when a magnitude of said oscillation signal is greater than a  
5 magnitude of said frequency variation signal.

16. The pulse width modulated switch of claim 15 wherein said oscillator comprises an input that receives said frequency signal and said oscillation signal comprises a frequency range, and wherein said frequency of said oscillation signal varies within said frequency range according to a magnitude of said frequency variation signal.

17. The pulse width modulated switch of claim 16 wherein said oscillator further comprises a current source, wherein said frequency of said oscillation signal is a function of a sum of a magnitude of a current provided by said current source and said magnitude of said frequency variation signal.

18. The pulse width modulated switch of claim 11 further comprising  
a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving an AC mains signal and said rectifier output providing a rectified signal;  
20 a power supply capacitor that receives said rectified signal;  
a first winding comprising a first terminal and a second terminal, said first winding receiving a substantially DC signal from said power supply capacitor, said second terminal of said first winding coupled to said first terminal of said pulse width modulated switch; and



233/248  
PATENT

~~X~~ a second winding magnetically coupled to said first winding, said first winding capable of being coupled to a load.

19. A regulation circuit comprising  
5 a first terminal;  
a second terminal;  
a switch comprising a control input, said switch allowing a signal to be transmitted between said first terminal and said second terminal according to a drive signal provided at said control input;  
a drive circuit that provides said drive signal for a maximum time period of a cycle; and  
a soft start circuit that provides a signal instructing said drive circuit to disable said drive signal during at least a portion of said maximum time period.

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20. The regulation circuit of claim 19 further comprising an oscillator that provides a maximum duty cycle signal to said drive circuit, said maximum duty cycle signal comprising an on-state for said maximum time period.

21. The regulation circuit of claim 20 further comprising a frequency variation circuit that provides a frequency variation signal, wherein said oscillator provides an oscillation signal and wherein said soft start circuit provides said signal instructing said drive circuit to disable said drive signal when a magnitude of said oscillation signal is greater than a magnitude of said frequency variation signal.

Express Mail No. EM567610389US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

22. The regulation circuit of claim 19 further comprising an additional oscillator that provides a soft start signal to said soft start circuit, and wherein when said soft start signal is removed said soft start circuit ceasing operation.

5 23. The regulation circuit of claim 22 wherein said additional oscillator further comprises  
a comparator that provides a comparator signal when a magnitude of a reference signal is greater than or equal to a magnitude of said additional oscillation signal, and  
an inverter that receives said comparator signal and provides said soft start signal

24. The regulation circuit of claim 19 further comprising a frequency variation circuit that provides a frequency variation signal and wherein said maximum time period varies according to a magnitude of said frequency variation signal.

25. The regulation circuit of claim 19 further comprising a feedback terminal and wherein when a signal is received at said feedback terminal said drive signal is discontinued for at least one cycle.

26. The regulation circuit of claim 19 wherein said first terminal, said second  
20 terminal, said oscillator and said soft start circuit comprise a monolithic device.

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Express Mail No. EM561101881S  
DocId No: 233/248  
May 18, 1998

233/248  
PATENT

27. The regulation circuit of claim 26 further comprising a current limit circuit that provides a signal instructing said drive circuit to discontinue said drive signal when a current received at said first terminal of said regulation circuit is above a threshold level.

5 28. The regulation circuit of claim 19 further comprising  
a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving  
an AC mains signal and said rectifier output providing a rectified signal;  
a power supply capacitor that receives said rectified signal;  
a first winding comprising a first terminal and a second terminal, said first winding  
receiving a substantially DC signal from said power supply capacitor, said second terminal of  
said first winding coupled to said first terminal of said regulation circuit; and  
a second winding magnetically coupled to said first winding, said first winding capable of  
being coupled to a load.

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29. A regulation circuit comprising:  
a first terminal;  
a second terminal;  
a switch comprising a control input, said switch allowing a signal to be transmitted  
between said first terminal and said second terminal according to a drive signal provided at said  
control input; and  
a frequency variation circuit that provides a frequency variation signal;  
a drive circuit that provides said drive signal for a maximum time period of a time  
duration cycle;

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Express Mail No. EM563810388US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

wherein said time duration of said cycle varies according to said frequency variation  
signal.

12  
20. The regulation circuit of claim 11 wherein said frequency variation circuit  
5 comprises an oscillator that provides said frequency variation signal.

31. The regulation circuit of claim 29 further comprising a soft start circuit that  
provides a signal instructing said drive circuit to discontinue said drive according to a magnitude  
of said frequency variation signal.

14  
32. The regulation circuit of claim 31 further wherein said frequency variation circuit  
provides a soft start signal, and wherein said soft start circuit ceases operation when said soft  
start signal is removed.

15  
33. The regulation circuit of claim 32 wherein said frequency variation circuit further  
comprises

a comparator that provides a comparator signal when a magnitude of a reference signal is  
greater than or equal to a magnitude of said frequency variation signal, and

an inverter that receives said comparator signal and provides said soft start signal.

20

16  
34. The regulation circuit of claim 11 wherein said first terminal, said second  
terminal, said switch, said frequency variation circuit, and said drive circuit comprise a  
monolithic device.

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Express Mail No. E14563810388US  
Docket No: 233/248  
May 18, 1998

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233/248  
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35. The regulation circuit of claim 29 further comprising  
a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving  
an AC mains signal and said rectifier output providing a rectified signal;  
a power supply capacitor that receives said rectified signal and provides a substantially  
DC signal;  
a first winding comprising a first terminal and a second terminal, said first winding  
receiving said substantially DC signal, said second terminal of said first winding coupled to said  
first terminal of said regulation circuit; and  
a second winding magnetically coupled to said first winding, said first winding capable of  
being coupled to a load.

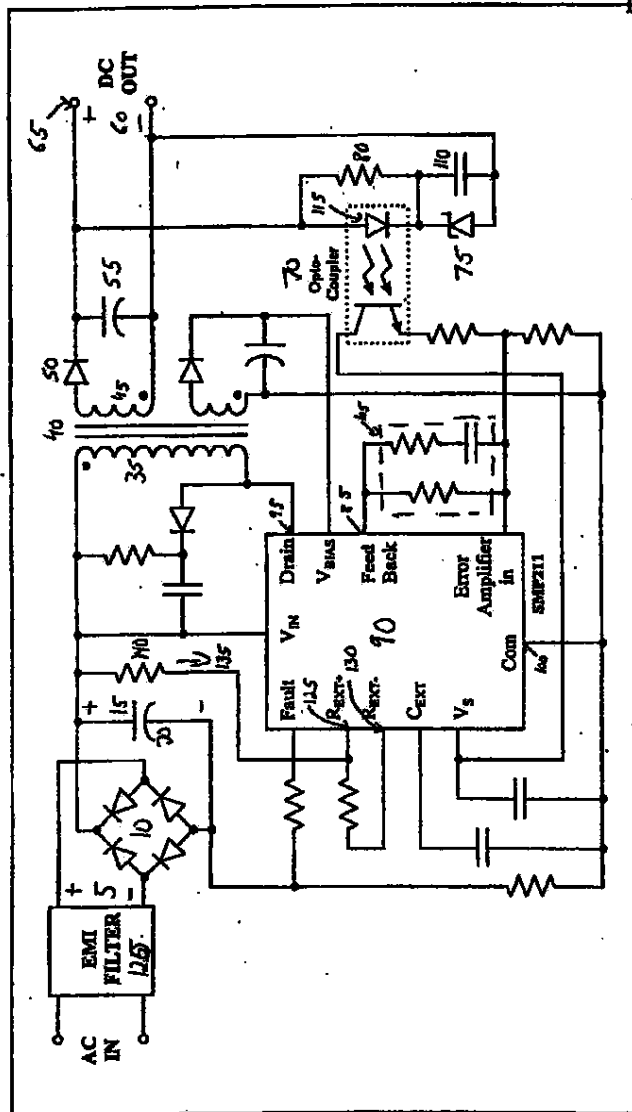
36. The regulation circuit of claim 29 further comprising a current limit circuit that  
provides a signal instructing said drive circuit to discontinue said drive signal when a current  
received at said first terminal of said regulation circuit is above a threshold level.

37. The regulation circuit of claim 29 further comprising a feedback terminal and  
wherein when a signal is received at said feedback terminal said drive signal is discontinued for  
at least one cycle.

Express Mail No. EM563816388US  
Docket No. 233/248  
May 18, 1998

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FIG. 1



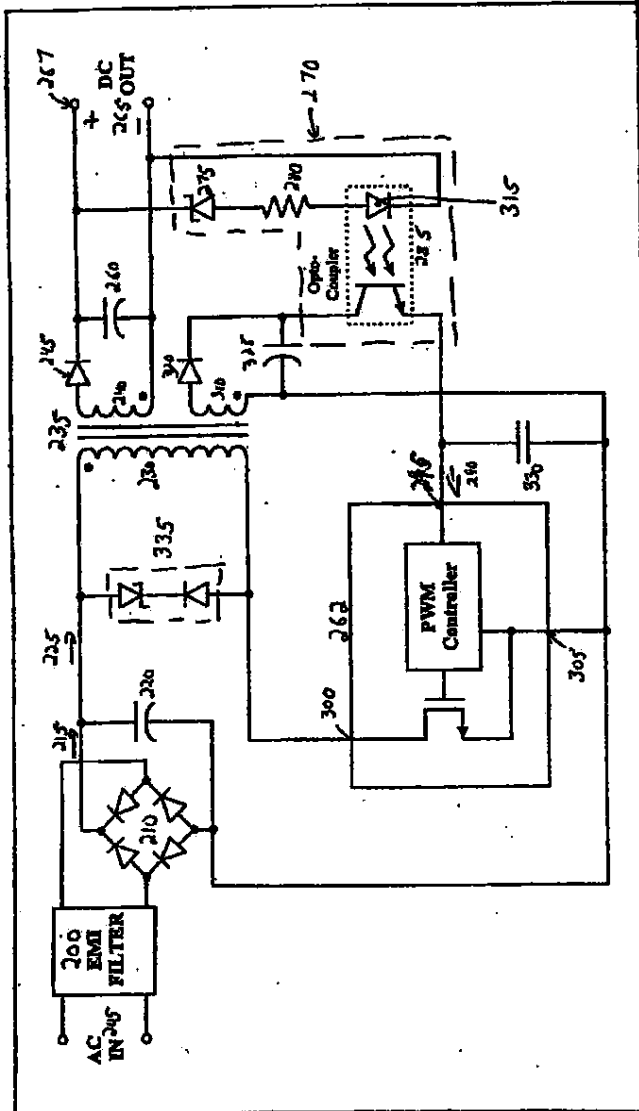
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Docket No. 233/248  
May 18, 1998

*Fig 9*

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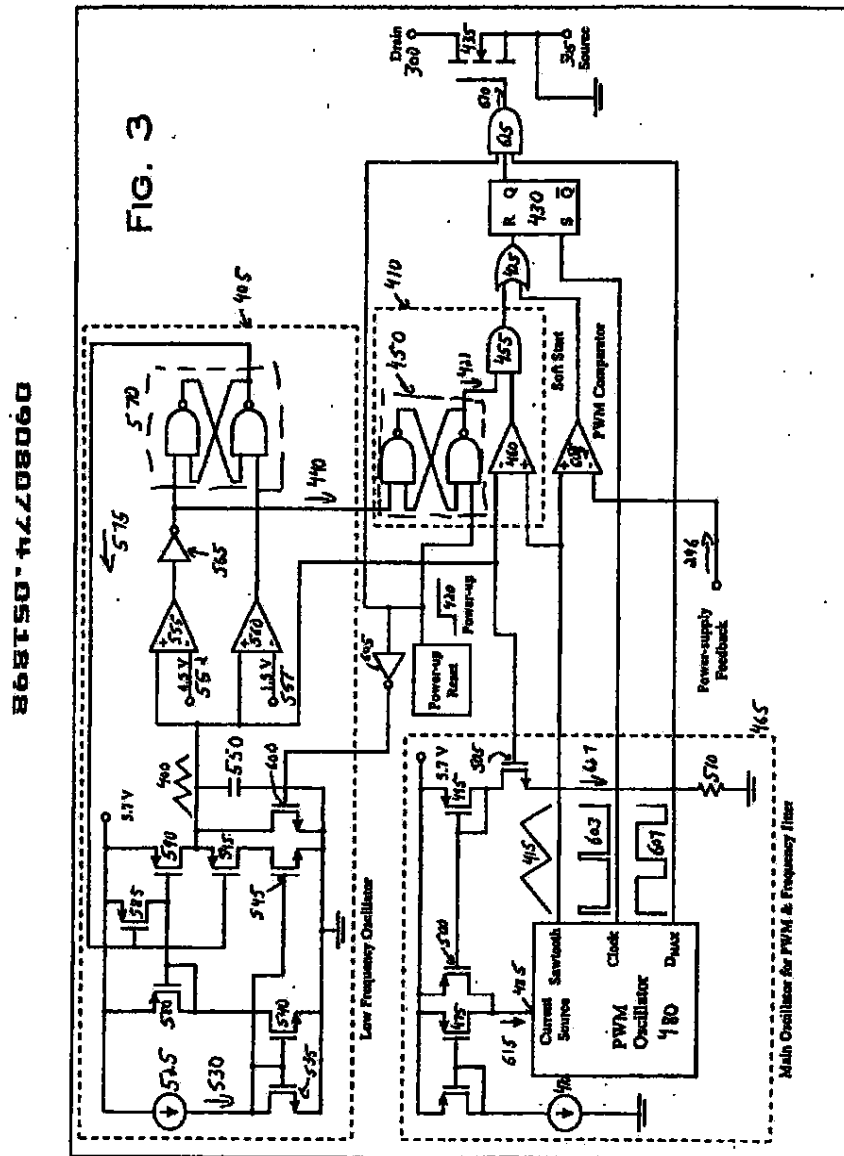
FIG. 2



233/248  
PATENT

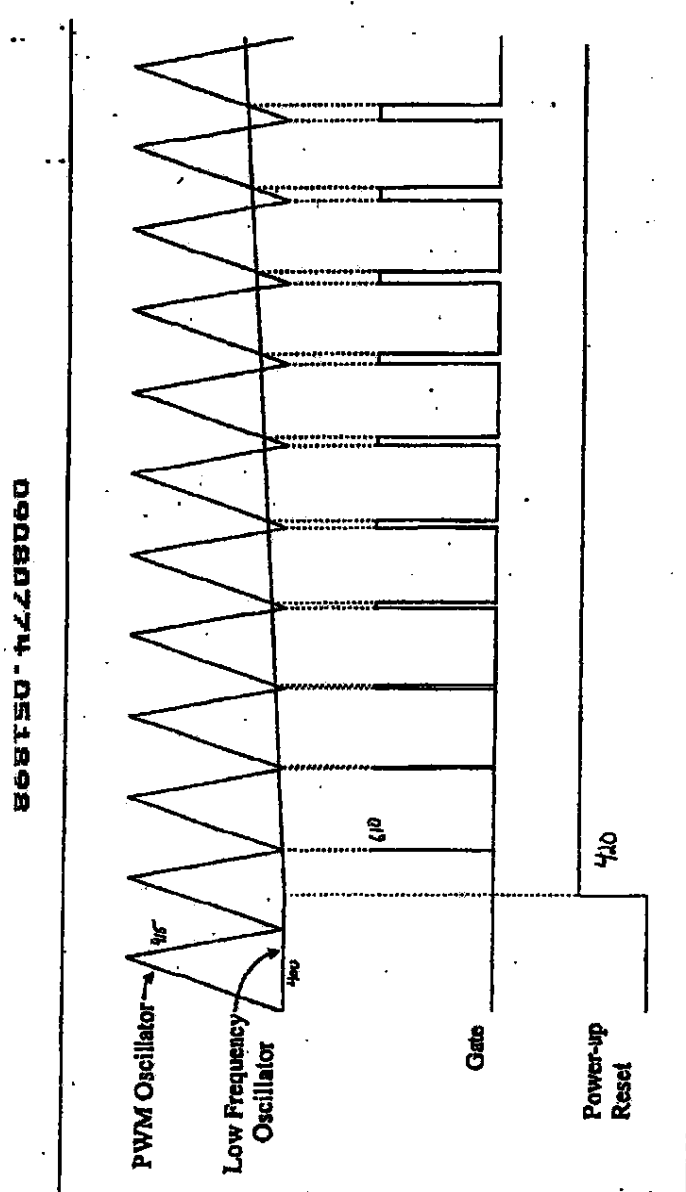
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May 18, 1998

**233/248**  
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Express Mail No. EM56310381US  
Docket No: 231/248  
May 18, 1998





233/248  
PATENT

FIG. 4

Express Mail No. EM563810580US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

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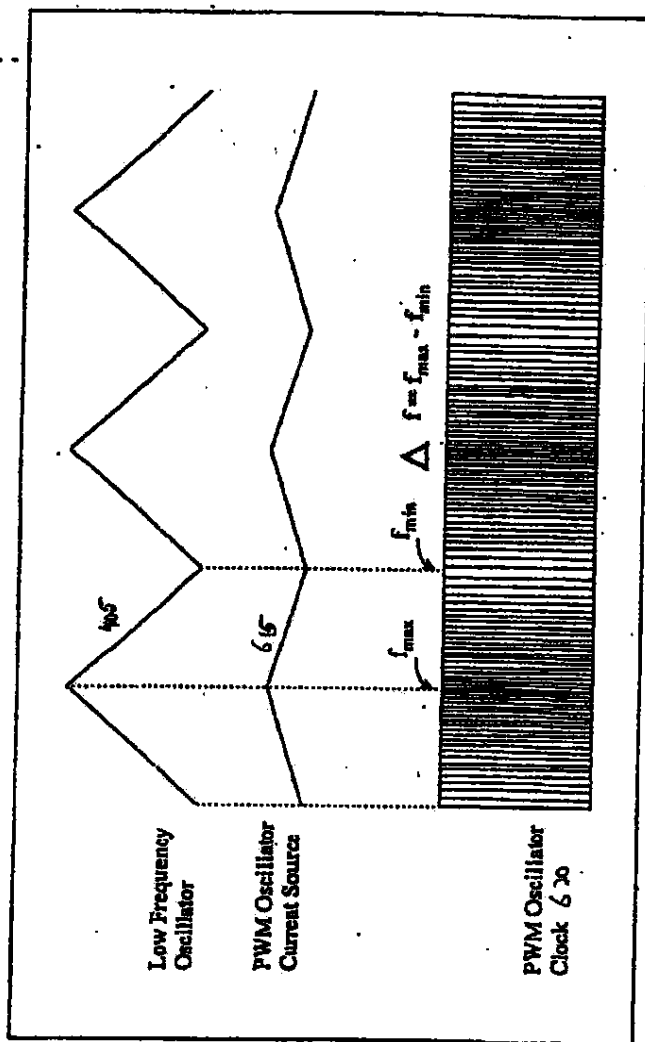


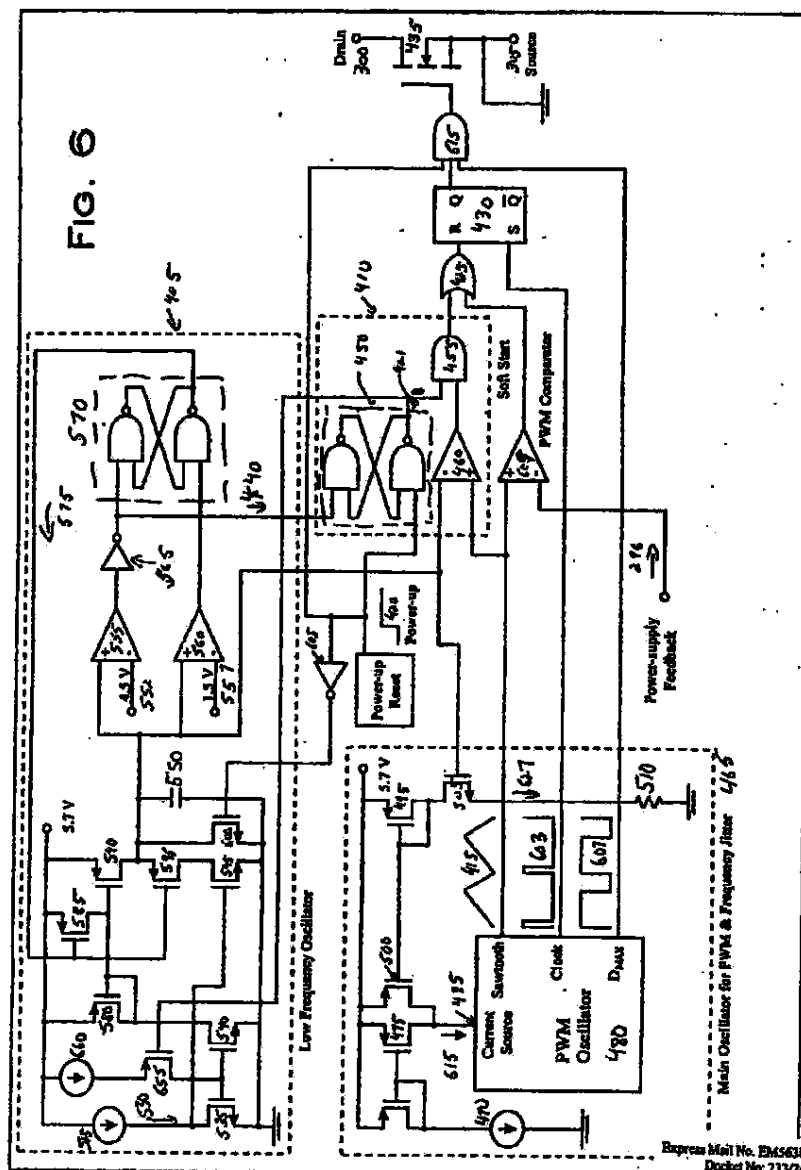
FIG. 5

Express Mail No. E4553810388103  
Docket No. 233/248  
May 18, 1998

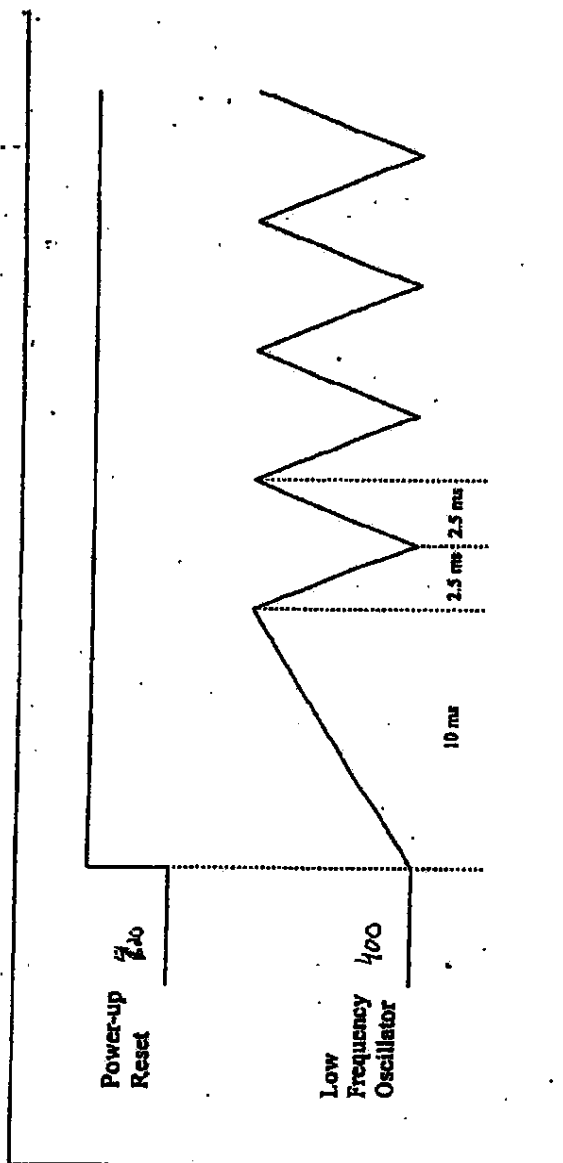
233/248  
PATENT

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FIG. 6



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233/248  
PATENT

FIG. 7

Express Mail No. 2M563810388US  
Docket No. 233/248  
May 18, 1998

233/248  
PATENT

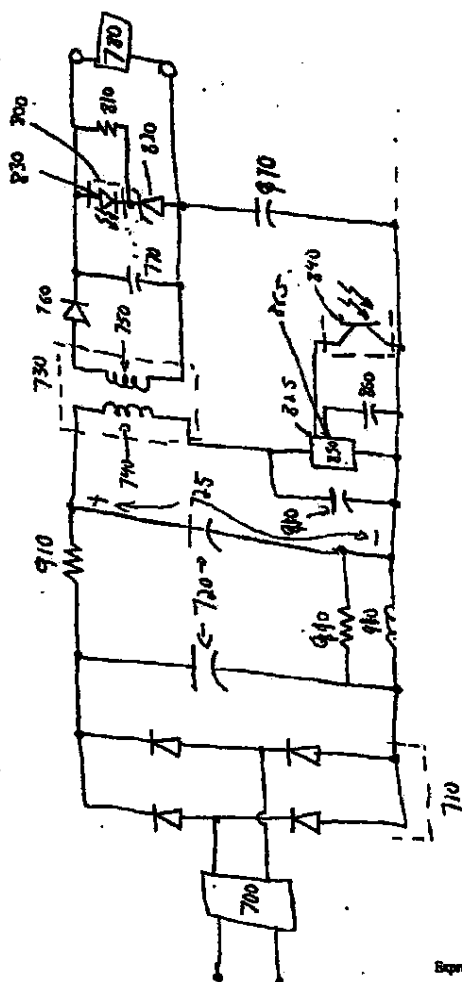
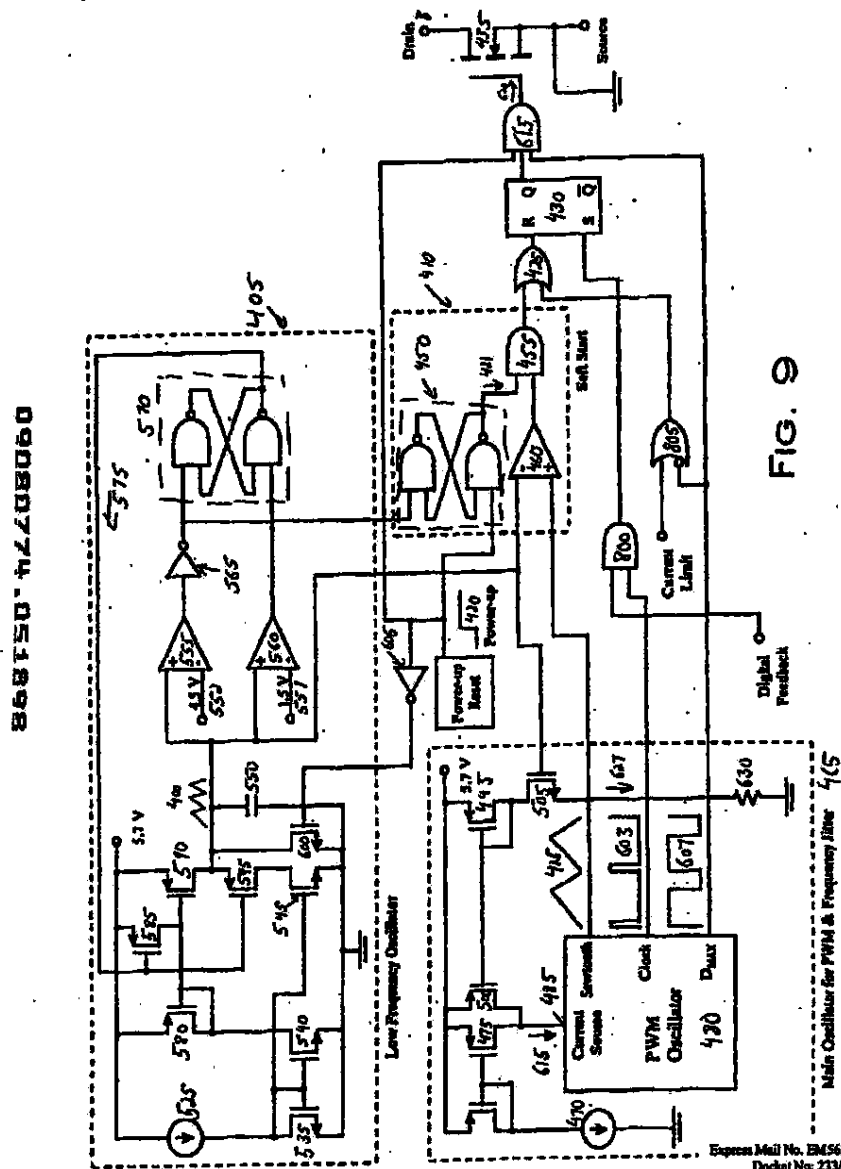


Fig. 8

Express Mail No. EM563810388US  
Docket No: 233/248  
May 18, 1998

233/248  
PATENT



Express Mail No. EM5638103HTUS  
Docket No: 233/248  
May 18, 1998

233/248  
PATENT

**ABSTRACT**

A pulse width modulated switch comprises a first terminal, a second terminal, and a switch that allows a signal to be transmitted between the first terminal and the second terminal according to a drive signal provided at a control input. The pulse width modulated switch also  
5 comprises a frequency variation circuit that provides a frequency variation signal and an oscillator that provides an oscillation signal having a frequency of that varies within a frequency range according to the frequency variation signal. The oscillator further provides a maximum duty cycle signal comprising a first state and a second state. The pulse width modulated switch further comprises a drive circuit that provides the drive signal when the maximum duty cycle signal is in the first state and a magnitude of the oscillation signal is below a variable threshold level.

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**DECLARATION  
AND POWER OF ATTORNEY**  
Utility Application

LYON &amp; LYON LP

233/248

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (If only one name is listed below) or an original, first and joint inventor (If plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **"OFF-LINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY FILTER"**, the specification of which

Check One

- ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as  
 Application Serial No.  
 and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Application Number	Country	Date of Filing	Priority Claimed	
			Yes%	No%

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number	Date of Filing	Status-Patented, Pending or Abandoned

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint as my attorneys, with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Roland N. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr., Reg. No. 20,467; James W. Gerlak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,257; Douglas E. Olson, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McConaghy, Reg. No. 26,773; William C. Steffen, Reg. No. 26,811; Cee A. Bloomberg, Reg. No. 26,805; I. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,749; Allan W. Jansen, Reg. No. 29,395; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Hemminger, Reg. No. 30,755; Harold B. Reilly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. Ohlner, Reg. No. 31,646; Mary S. Consalvi, Reg. No. 32,212; Lois M. Kwasigroch, Reg. No. 35,579; Lawrence R. LaPorte, Reg. No. 38,948; Robert C. Laurenson, Reg. No. 34,206; Carol A. Schneider, Reg. No. 34,923; Hope E. Melville, Reg. No. 34,874; Michael J. Wise, Reg. No. 34,047; Richard J. Warburg, Reg. No. 32,327; David T. Buse, Reg. No. 37,104; Jeffrey A. Miller, Reg. No. 35,287; Bernard F. Rose, Reg. No. P-42,112; Michael J. Bolan, Reg. No. P-42,339; Lynn Y. McKernan, Reg. No. P-41,986; and Dmitry R. Milikovsky, Reg. No. P-41,999.

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## APPLICABLE STATUTES &amp; RULES

## 37 CFR 1.56 DUTY TO DISCLOSE INFORMATION MATERIAL TO PATENTABILITY.

(b) A patent by its very nature is granted with a public interest. The public interest is best served, and the most effective patent administration occurs, when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information arises with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by ss 1.57(b)(4) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim potentially defines, to make sure that any material information contained therein is disclosed to the Office.

(c) Under this section information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) it refutes, or is inconsistent with, a position the applicant takes in:
  - (A) Opposing an argument of unpatentability relied on by the Office, or
  - (B) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(d) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) Each inventor named in the application;
- (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(e) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

## 35 U.S.C. 102. CONDITIONS FOR PATENTABILITY; NOVELTY AND LOSS OF RIGHT TO PATENT

(a) A person shall be entitled to a patent unless:
 

- (1) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (2) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or

(b) he has abandoned the invention, or
 

- (3) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (4) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(a) of this title before the invention thereof by the applicant for patent, or

(c) he did not himself invent the subject matter sought to be patented, or
 

- (5) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

## 35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (b) or (c) of section 102 of this title, shall not preclude patentability under this section when the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

## 35 U.S.C. 119. BENEFIT OF EARLIER FILING DATE IN FOREIGN COUNTRY; RIGHT OF PRIORITY (Applicable Parties)

An application for patent for an invention filed in this country by any person who has, or whose legal representatives or assigns have, previously regularly filed an application for a patent for the same invention in a foreign country which affords similar privileges in the case of applications filed in the United States or to citizens of the United States, shall have the same effect as the same application would have if filed in this country on the date on which the application for patent for the same invention was first filed in such foreign country, if the application in this country is filed within twelve months from the earliest date on which such foreign application was filed; but no patent shall be granted on any application for a patent for an invention which has been patented or described in a printed publication in any country more than one year before the date of the actual filing of the application in this country, or which had been in public use or on sale in this country more than one year prior to such filing.

## 35 U.S.C. 120. BENEFIT OF EARLIER FILING DATE IN THE UNITED STATES

An application for patent for an invention disclosed in the manner provided by the first paragraph of section 112 of this title in an application previously filed in the United States, or as provided by section 363 of this title, by the same inventor shall have the same effect, as to such invention, as though filed on the date of the prior application, if filed before the abandonment or abandonment of or termination of proceedings on the first application or on an application directly or indirectly entitled to the benefit of the filing date of the first application and if it contains or is amended to contain a specific reference to the earlier filed application.

## 35 U.S.C. 112. SPECIFICATION (Applicable Parties)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make the use of the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

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Send Correspondence to: LYON & LYON LLP 47th Floor, 633 W. Fifth St. Los Angeles, CA 90071		Direct Telephone Call to: Dmitry R. Milikovsky (408) 993-1555	
--	--	---	--

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	RESIDENCE & CITIZENSHIP	City		State or Foreign Country		Country of Citizenship
	POST OFFICE ADDRESS	Post Office Address		City		State or Country Zip Code

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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the use so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 201	<i>Baku Balakinshnan</i>
Date	5-14-98


Signature of Inventor 202	<i>Alex Djenguerian</i>
Date	5/14/98


Signature of Inventor 203	<i>Leif Lund</i>
Date	05-14-98


(Signatures should conform to names as presented at 201 in sec. above.)

DPOA, DCL

Update 4/97

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## POWER OF ATTORNEY

LYON & LYON LLP  
DOCKET INFORMATION

233/248

**Power Integrations, Inc.**, assignee(s) of the application for United States Letters Patent for a **"OFF-LINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER"**

by Balu Balakrishnan, Alex Dienguerian and Lief Lund

(Inventor)

☒ executed on even date herewith, or  
having Serial No. \_\_\_\_\_ filed \_\_\_\_\_

a copy of the assignment of which is attached hereto, do(es) hereby appoint as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Roland H. Smoot, Reg. No. 18,718; Conrad R. Solum, Jr., Reg. No. 20,467; James W. Geriak, Reg. No. 20,233; Robert M. Taylor, Jr., Reg. No. 19,848; Samuel B. Stone, Reg. No. 19,297; Douglas E. Olsen, Reg. No. 22,798; Robert E. Lyon, Reg. No. 24,171; Robert C. Weiss, Reg. No. 24,939; Richard E. Lyon, Jr., Reg. No. 26,300; John D. McCaughy, Reg. No. 26,773; William C. Stiffin, Reg. No. 26,811; Cos A. Bloomberg, Reg. No. 26,605; J. Donald McCarthy, Reg. No. 25,119; John M. Benassi, Reg. No. 27,483; James H. Shalek, Reg. No. 29,748; Allan W. Jansen, Reg. No. 29,395; Robert W. Dickerson, Reg. No. 29,914; Roy L. Anderson, Reg. No. 30,240; David B. Murphy, Reg. No. 31,125; James C. Brooks, Reg. No. 29,898; Jeffrey M. Olson, Reg. No. 30,790; Steven D. Henninger, Reg. No. 30,735; Jerrold B. Kelly, Reg. No. 32,293; Paul H. Meier, Reg. No. 32,274; John A. Rafter, Jr., Reg. No. 31,653; Kenneth H. O'Brien, Reg. No. 31,646; Mary S. Connolly, Reg. No. 32,212; Loh M. Kynsiggach, Reg. No. 33,579; Lawrence R. LaForte, Reg. No. 38,948; Robert C. Laurinson, Reg. No. 34,206; Carol A. Schneider, Reg. No. 34,923; Hope E. Mahville, Reg. No. 34,874; Michael J. Wiles, Reg. No. 34,047; Richard J. Warburg, Reg. No. 32,327; David T. Burns, Reg. No. 37,104; Jeffrey A. Miller, Reg. No. 33,287; Bernard F. Ross, Reg. No. F-42,112; Michael J. Behn, Reg. No. F-42,339; Lynn Y. McKenney, Reg. No. F-41,986; and Dmitry R. Milikovsky, Reg. No. F-41,999.

Send Correspondence to:

LYON & LYON LLP  
First Interstate World Center  
47th Floor, 633 W. Fifth St.  
Los Angeles, CA 90071-2066

Direct Telephone Calls to:

Dmitry R. Milikovsky, Esq.  
(408) 993-1555

I, the undersigned, declare that I am the (an) assignee of the above-identified application or, if the assignee is a corporation, partnership or other association, I am authorized to make this appointment on behalf of the assignee and I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Assignee Power Integrations, Inc.	
Post Office Address 477 North Mathilda Avenue, Sunnyvale, California 94086	
Signature of Declarant or Assignee <i>Balu Balakrishnan</i>	Date May 14, 1998

Full Name of Declarant If Other Than Assignee Balu Balakrishnan	
Title of Declarant Vice-President, Marketing and Engineering	
Address of Declarant 13917 Altair Court, Saratoga, California 95070	

POA. Form

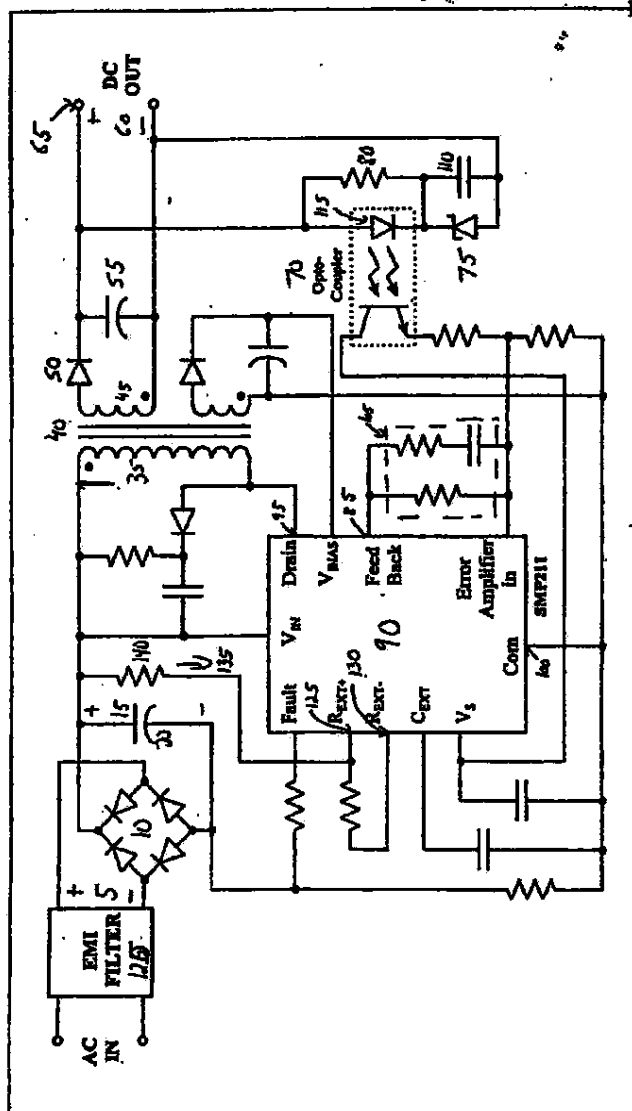
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FIG. 1



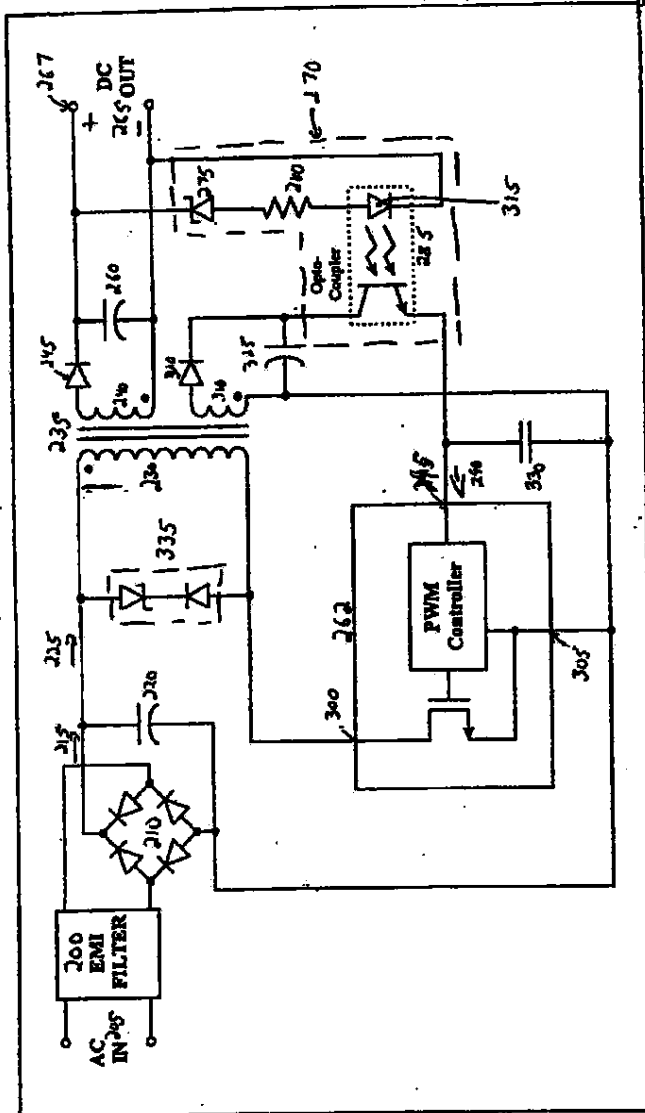
233/248  
PATENT

Express Mail No. EM4563810388US  
Docket No: 233/248  
May 18, 1998

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FIG. 2

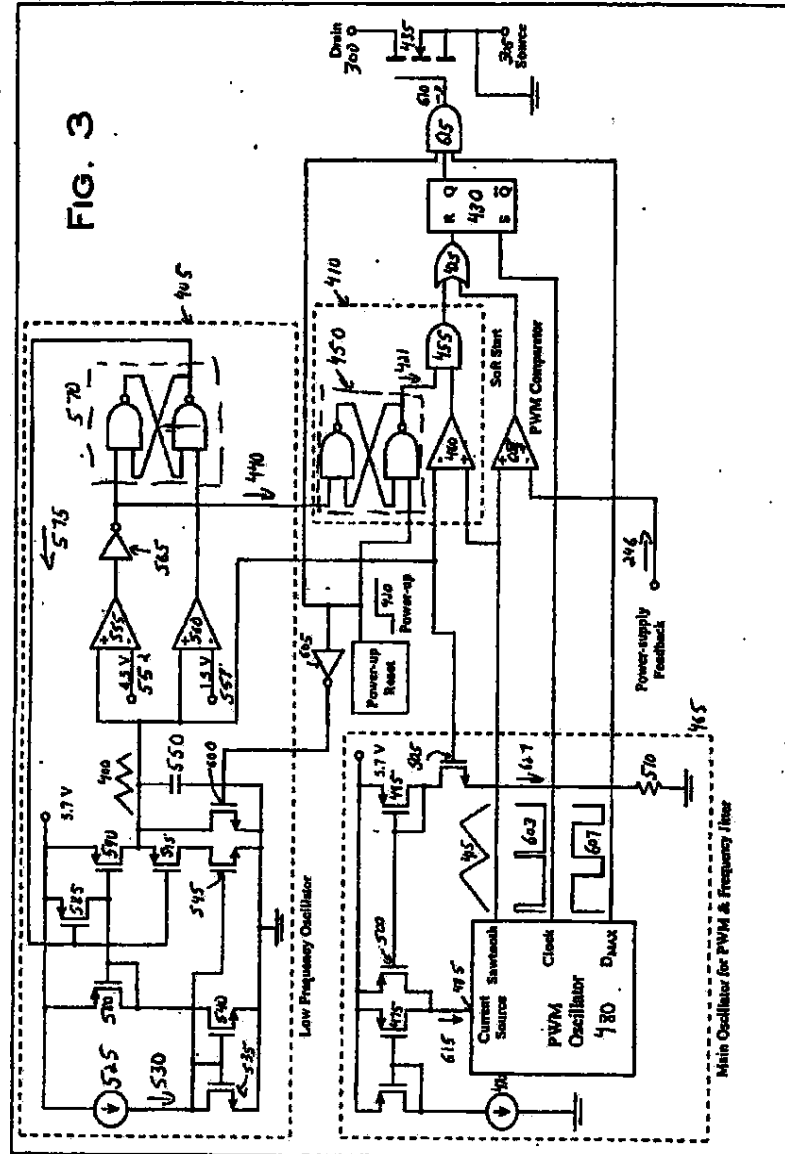


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PATENT

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Docket No. 233/248  
May 18, 1998

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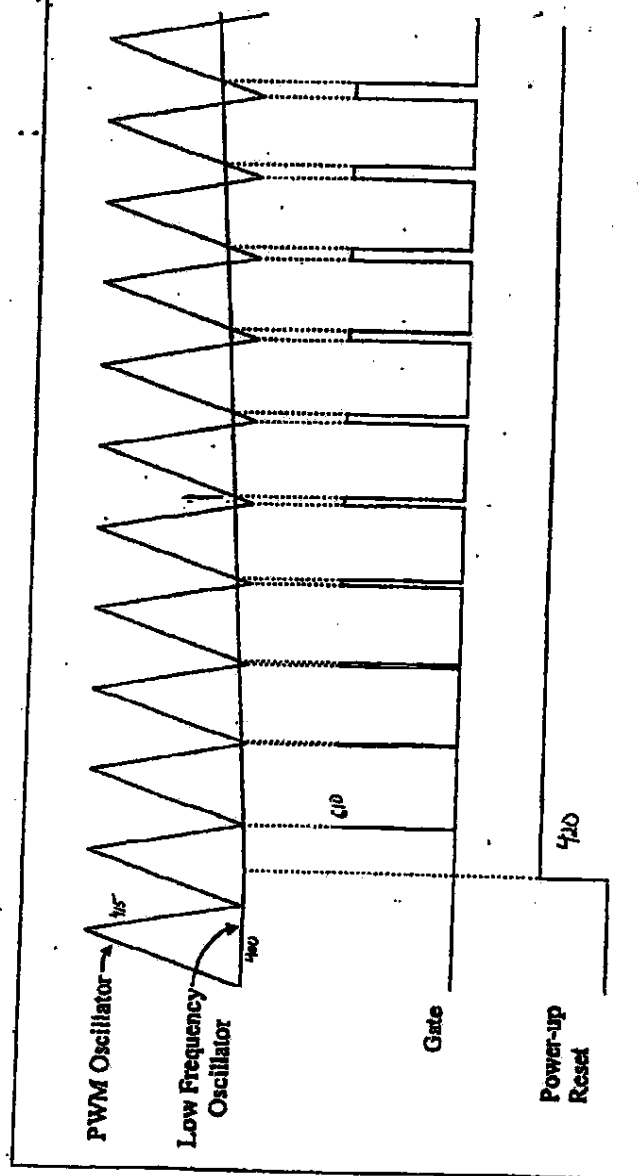
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**PATENT**



Express Mail No. EM563110348US  
Docket No: 233248  
May 18, 1998

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PATENT

FIG. 4

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Docket No. 233/248  
May 14, 1998

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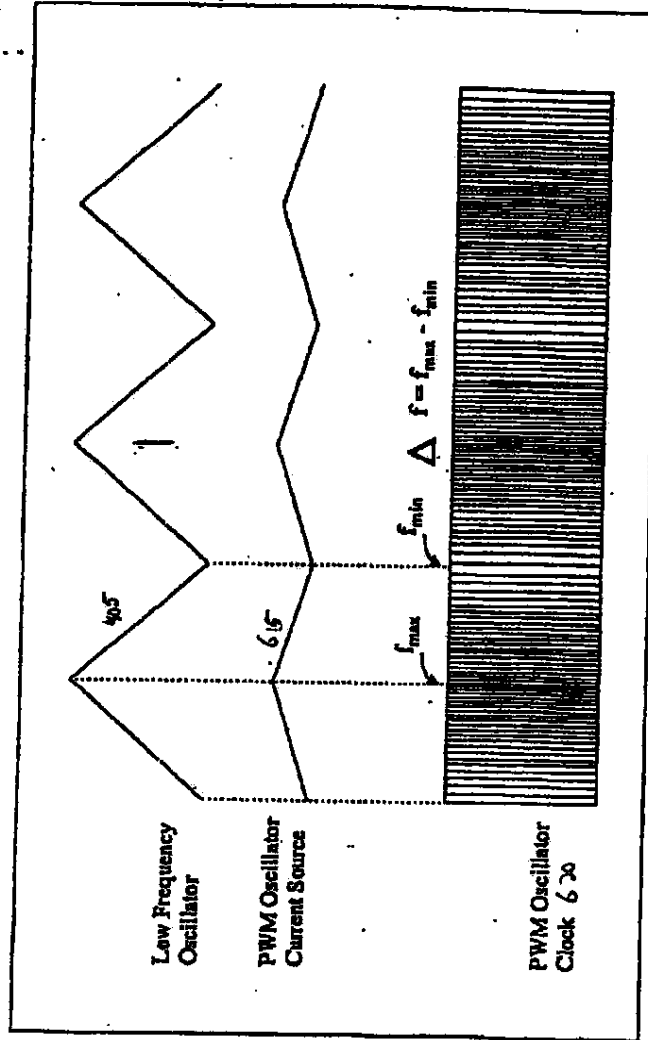


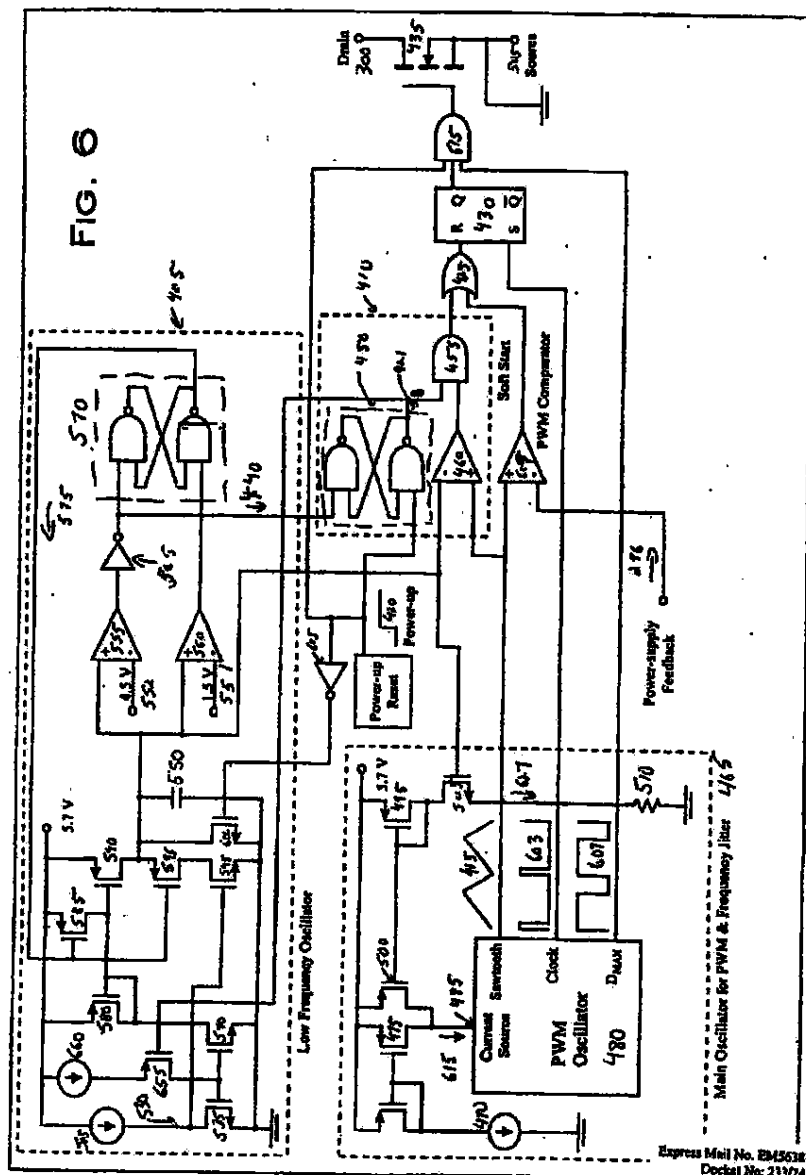
FIG. 5

Express Mail No. EM563810288US  
Docket No: 233248  
May 18, 1998



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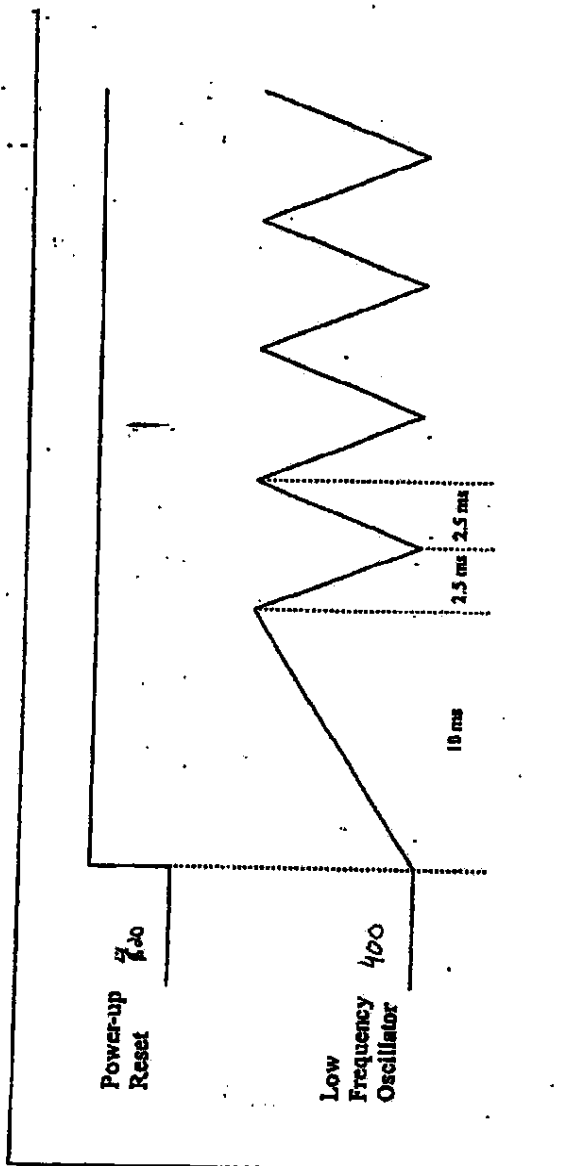


FIG. 7

Express Mail No. EM963810388US  
Docket No: 233/248  
May 14, 1998

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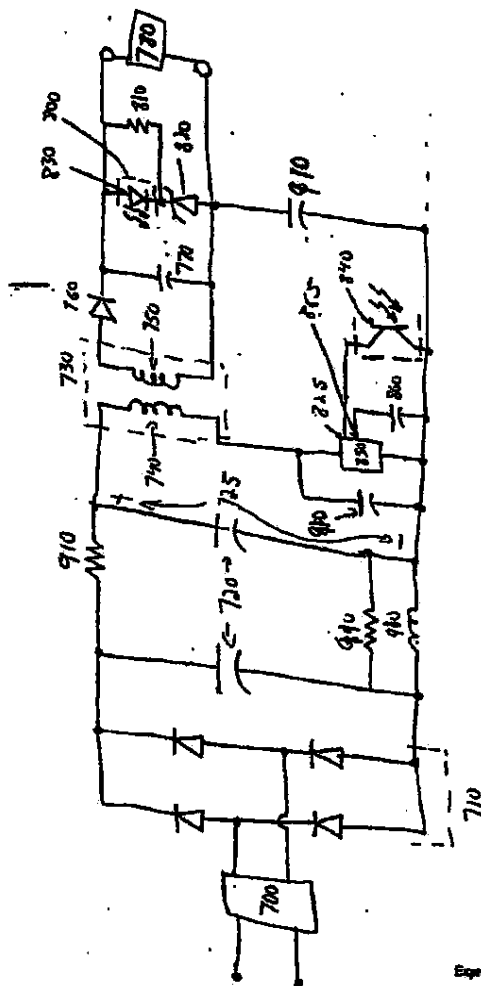


FIG. 8

Express Mail No. E245638103282US  
Docket No. 233/248  
May 18, 1998

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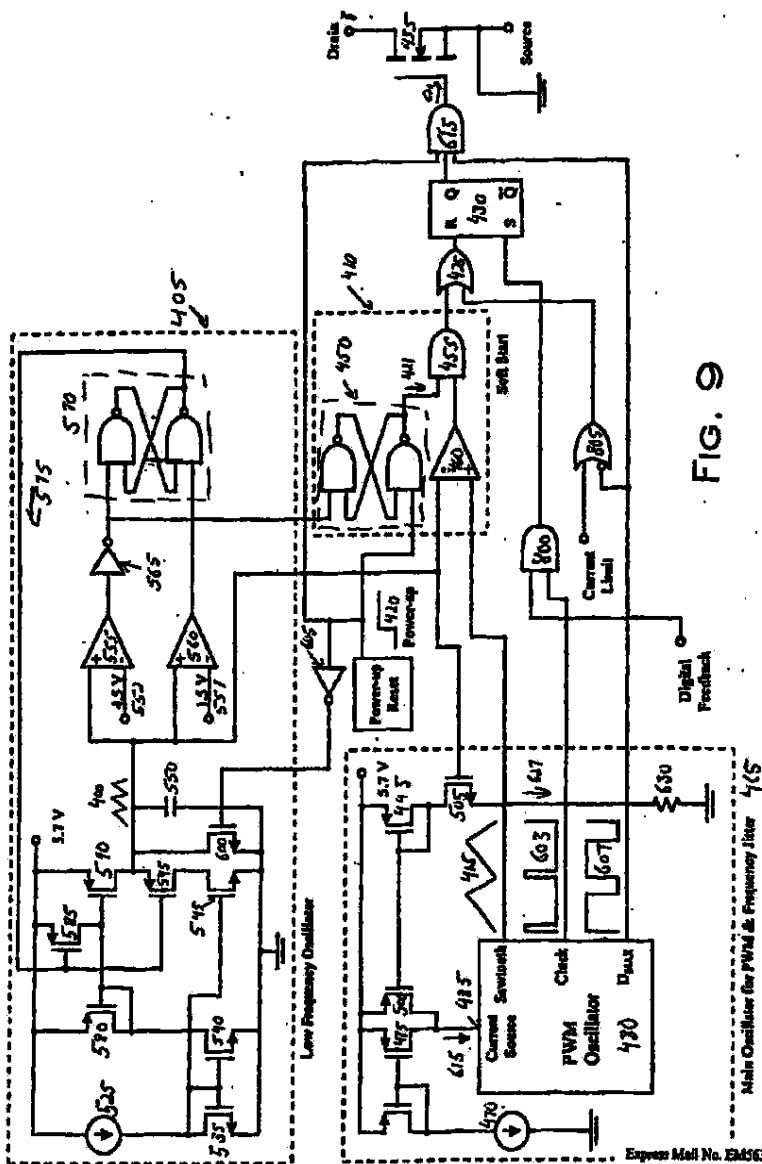


FIG. 9

Express Mail No. E84563410389U3  
Docket No. 233/248  
May 18, 1998

**FCS0000403**



#2A  
86-1785  
Attorney Docket No. 233/248

To: Assistant Commissioner for Patents and Trademarks  
Washington, D. C. 20231

NEW APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor(s): Balu Balakrishnan; Alex Djenguerian; Leif Lund

For: OFF-LINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER

1. Type of Application

This new application is for a(n):

- ☒ Original  
☐ Design  
☐ Divisional  
☐ Continuation  
☐ Continuation-In-Part (CIP)

2. Papers Enclosed

- ☒ 22 page(s) specification: 10 page(s) claims: 1 page(s) abstract  
☒ 9 sheets of drawing (☒ informal ☐ formal)  
☒ Declaration and Power of Attorney (☒ combined ☐ separate)  
☒ Power of Attorney  
☐ Verified Statement establishing "Small Entity" under 37 CFR §§ 1.9 and 1.27  
☒ Already filed.  
☒ Other than a Small Entity.  
☒ Assignment Recordation Cover Sheet  
☒ Assignment of the invention to: Power Integrations, Inc.

3. Fee Calculation

The filing fee has been calculated as shown below:

Page -1- of -2-

FCS0000404

Attorney Docket No. 233/248

\*\*\*\*\*

-Statutory Basic Filing Fee	(\$790.00)	\$ 790.00
-Total Claims	<u>37</u> - 20 - <u>17</u> x \$22	\$ 374.00
-Independent Claims	<u>4</u> - 3 - <u>1</u> x \$80	\$ 80.00
-Multiple Dependent Claim(s)	(\$250)	\$
-Surcharge 37 CFR 1.16(e)	(\$130)	\$
TOTAL OF ABOVE CALCULATIONS		\$ 1,244.00
-Reduction 1/2 for filing by Small Entity		\$ 000.00
-Assignment (\$40)		\$ 40.00
TOTAL FEES		\$ 1,284.00

\*\*\*\*\*

0080774-051898

## 4. Method of Payment of Fees

- ☒ Check # 44209 in the amount of \$ 1,284.00 to cover the above fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency of fees associated with this communication or credit any overpayment to Deposit Account 12-2475.

## 5. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 12-2475.
- ☒ 37 CFR 1.16(a) or (g) - (Filing Fees)
- ☒ 37 CFR 1.16(b), (c) and (d) - (Presentation of Extra Claims)
- ☐ 37 CFR 1.16(e) - (Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)
- ☒ 37 CFR 1.17 (Any Application Processing Fees)

Date: May 18, 1998

  
 Dmitry R. Milikowsky  
 Reg. No. P-41,999

LYON & LYON LLP  
 633 West Fifth Street  
 Suite 4700  
 Los Angeles, CA 90071-2066  
 (408) 993-1555

Page -2- of -2-

FCS0000405

**FCS0000406**





CAU 2816

233/248  
PATENT #3

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Balu Balakrishnan

Serial No. 09/080,774

Filed: May 18, 1998

For: **OFF-LINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER**

Group Art Unit: 2816

Examiner: Not yet assigned

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**JUL 28 1998**

**GROUP 2500**

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.56 and 1.97, Applicant hereby discloses to the Patent Office patents, publications or other information of which Applicant is aware. A copy of the patents and other materials along with a Form 1449 are submitted herewith.

The items identified in this Information Disclosure Statement may or may not be "material" as defined in 37 C.F.R. § 1.56 and the submission thereof by Applicant is not to be construed as an admission that such items referred to are material or considered to be material (37 C.F.R. § 1.97(h)), or even qualify as "prior art" under 35 U.S.C. § 102 with respect to this invention unless specifically designated by Applicant as such. Identification of any reference or patent having an issue date or a publication date after the statutory bar date is not

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233/248  
PATENT

an admission, nor is it to be construed as an admission, that it was invented prior to the invention disclosed herein.

The filing of this Information Disclosure Statement is not to be construed to mean that a search has been made or that no other material information, as defined in 37 C.F.R. § 1.56, exists.

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JUL 28 1998

No Fee Required

GROUP 2500

This information disclosure statement is being filed before the mailing of the first Office Action on the merits for this application, thus no fees or certification is required at this time. Please charge any required fee or credit any overpayment to our deposit account number 12-2475 for the processing of this information disclosure statement. An additional copy of this information disclosure statement is enclosed.

Respectfully submitted,  
LYON & LYON LLP

Dated: July 8, 1998

By



Dmitry R. Milikovsky  
Reg. No. P- 41,999

633 West Fifth Street, 47th Floor  
Los Angeles, CA 90017-2066  
Phone: (408) 993-1535  
Fax: (408) 287-2664



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GROUP 2500

233/248  
Patent

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

☒ I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: July 14, 1998

  
Sheila Henriquez

FCS0000409

FORM PTO-1449		ATTY. DOCKET NO. 233/248	SERIAL NO. 09/080,774
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		APPLICANT: Power Integrations, Inc.	
		FILING DATE: May 18, 1998	GROUP: 2816

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
JZ	AA	3,491,252	1/20/70	Petrohikos	307	229	11/16/64
JZ	AB	3,555,399	1/12/71	Buchanan et al	321	43	11/16/67
JZ	AC	3,840,797	10/8/74	Aggen et al	321	2	12/28/70
JZ	AD	3,916,224	10/28/75	Daniels et al	307	265	8/2/73
JZ	AE	4,072,965	2/7/78	Kondo	354	51	3/15/76
JZ	AF	4,143,282	3/6/79	Berard, Jr. et al	307	43	12/3/76
JZ	AG	4,228,493	10/14/80	de Sartre et al	363	56	12/21/78
JZ	AH	4,236,198	11/25/80	Ohsawa et al	363	49	12/11/78
JZ	AI	4,495,554	1/22/83	Simi et al	363	21	3/28/83
JZ	AJ	4,559,590	12/17/85	Davidson	363	21	3/24/83
JZ	AK	4,622,627	11/11/86	Rodriguez et al	363	37	2/16/84
JZ	AL	4,695,936	9/22/87	Whittle	363	21	2/7/86
JZ	AM	4,706,176	11/10/87	Ketschau	363	21	7/7/86
JZ	AN	4,706,177	11/10/87	Josephson	363	24	11/14/85
JZ	AO	4,720,641	1/19/88	Faini	307	18	1/19/88
JZ	AP	4,725,769	2/16/88	Cini et al	323	283	4/9/87
JZ	AQ	4,734,839	3/29/88	Barthold	363	16	3/23/87
JZ	AR	4,739,462	4/19/88	Farnsworth et al	363	21	12/26/84
JZ	AS	4,806,844	2/21/89	Claydon et al	323	311	6/17/88
JZ	AT	4,809,148	2/28/89	Barn	363	20	10/21/87
JZ	AU	4,811,184	3/7/89	Koninsky et al	363	17	5/10/88
JZ	AV	4,814,674	3/12/89	Hrassky	318	254	3/25/87
JZ	AW	4,858,094	8/15/89	Barlage	363	21	10/18/88
JZ	AX	4,862,339	8/29/89	Inou et al	363	21	3/31/88

Information Disclosure Statement -- Section 9 PTO-1449

Page 1 of 4

FCS0000410

FORM PTO-1449				ATTY. DOCKET NO. 233/24E		SERIAL NO. 09/080,774	
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				APPLICANT: Power Integrations, Inc.			
(Use several sheets if necessary)				FILING DATE: May 18, 1998		GROUP: 2816	
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
JZ	AY	4,866,590	9/12/89	Odaka et al	363	49	7/28/88
JZ	AZ	4,870,555	9/26/89	White	363	21	10/14/88
JZ	BA	4,887,199	12/12/89	Whittle	363	49	9/22/87
JZ	BB	4,888,497	12/19/89	Dallabona et al	307	272.3	4/28/88
JZ	BC	4,890,210	12/26/89	Myers	363	21	11/15/88
JZ	BD	4,928,220	5/22/90	White	363	56	10/14/88
JZ	BE	4,937,728	6/26/90	Leonardi	363	97	10/19/89
JZ	BF	4,943,903	7/24/90	Cardwell, Jr.	363	97	7/24/90
JZ	BG	5,012,401	4/30/91	Barfadge	363	97	3/19/90
JZ	BH	5,014,178	5/7/91	Balakrishnan	363	49	5/14/90
JZ	BI	5,034,871	7/23/91	Okamoto et al	363	15	3/26/90
JZ	BJ	5,041,956	8/20/91	Marinus	363	21	2/12/90
JZ	BK	5,072,353	12/10/91	Feldkeller	363	20	10/1/90
JZ	BL	5,086,364	2/4/92	Leipold et al	361	18	2/19/91
JZ	BM	5,146,394	9/8/92	Ishii et al	363	16	6/22/90
JZ	BN	5,161,098	11/3/92	Balakrishnan	363	144	9/9/91
JZ	BO	5,177,408	1/93 7/15/91	Marques	315	291	1/5/93
JZ	BP	5,200,886	4/6/93	Schwartz et al	363	49	3/10/92
JZ	BQ	5,297,014	3/22/94	Saito et al	363	21	1/3/92
JZ	BR	5,313,381	5/17/94	Balakrishnan	363	147	9/1/92
JZ	BS	5,394,017	2/95 12/2/92	Catano et al	307	66	2/28/95
JZ	BT	5,452,195	9/19/95	Lehr et al	363	21	10/8/93
JZ	BU	5,461,303	10/24/95	Leman et al	323	222	1/31/94
JZ	BV	5,481,178	1/96 3/23/93	Wilcox et al	323	287	1/2/96
JZ	BW	5,508,602	4/16/96	Borgato et al	323	222	9/28/93

Information Disclosure Statement - Section 9 PTO-1449

Page 2 of 4

FCS0000411

FORM PTO-1449		ATTY. Docket NO. 233/246	SERIAL NO. 09/080,774
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		APPLICANT: Power Integrations, Inc.	
		FILING DATE: May 18, 1998	GROUP: 2816

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
JZ	BX	5,528,131	6/18/96	Marty et al	323	901	9/21/93
JZ	BY	5,552,746	9/3/96	Danstrom	327	427	4/7/95
JZ	BZ	5,563,534	10/8/96	Rossi et al	327	77	5/9/94
JZ	CA	5,568,084	10/22/96	McClure et al	327	538	2/6/94
JZ	CB	5,570,057	10/29/96	Palara	327	365	4/12/95
JZ	CC	5,572,156	11/5/96	Diazzi et al	327	109	9/18/95
JZ	CD	5,619,403	4/8/97	Ishikawa et al	363	21	7/20/93
JZ	CE	5,617,016	4/1/97	Borghi et al	323	284	10/20/94
JZ	CF	5,621,629	4/15/97	Hemminger et al	363	56	6/7/95
JZ	CG	5,640,317	6/15/97	Lei	363	49	6/17/97

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES	NO
JZ	CH	WO 83/01157	31.03.83	EPO	H02M 3	335		X
JZ	CI	0 651 440 AI	03.05.95	EPO	H01L 23	433		X
JZ	CI	EP 0 694 966 AI	31.01.96	EPO	H01L 23	495		X
JZ	CK	EP 0 736 957 AI	09.10.96	EPO	H02M1	12		X
JZ	CL	EP 0 740 491 AI	30.10.96	EPO	H05B 41	00		X
JZ	CM	EP 0 751 621 AI	02.01.97	EPO	H03K 17	16		X
JZ	CN	EP 0 748 034 AI	11.12.96	EPO	H02M 3	00		X
JZ	CO	EP 0 748 035 AI	11.12.96	EPO	H02M 3	155		X

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
JZ	CP	H.S. Hoffman, Jr., "Self-Generated Bias Supply", IBM Technical Disclosure Bulletin, Vol. 20, No. 5, October 1997, pp. 1814-5.
JZ	CQ	H.S. Hoffman, Jr. et al, "Proportional Drive Supply with Diversion Control", IBM Technical Disclosure Bulletin, Vol. 21, No. 12, May 1979, pp. 4904-5
JZ	CR	A. Halperin, "Primary Regulated Dual Power Supply", IBM Technical Disclosure Bulletin, Vol. 21, No. 10, March 1979, pp. 4299-300.

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	233/24.	09/080,774
	APPLICANT:	
	Power Integrations, Inc.	
	FILED DATE:	GROUP:
	May 18, 1998	2816

JZ	CS	"5-W dc-dc converters aim at telecom applications", Electronic Design Vol 31, No. 15, July 21, 1983, pp 227.
JZ	CT	"Combined Switch-Mode Power Amplifier and Supply", IBM Technical Disclosure Bulletin, Vol. 28, No. 3, August 1985, pp. 1193-1195.
JZ	CU	R. Bruckner, et al, "Optimizing Converter Design and Performance Utilizing Micro Controller System Feedback Control", Proceedings of Powercon 8, E-2, 1981, pp 1-10.
JZ	CV	B. Pelly et al, "OPower MOSFETs take the load off switching supply design", Electronic Design, February 1983, pp 135-139.
JZ	CW	D. Azzis et al, "Flyback on Card Power Supply", IBM Technical Disclosure Bulletin, Vol. 23, No. 4, September 1980, pp.1477-78.
JZ	CX	A.J. Bowen et al, "Power Supply with Optical Isolator", IBM Technical Disclosure Bulletin Vol. 14, No. 11, April 1972, pp. 3320
JZ	CY	"Off-Line Power Supply Control Technique Using a Single Transformer to Feed Back Three Control Signals", IBM Technical Disclosure Bulletin, Vol. 32, No. 8A, January 1990, pp. 272-3.

EXAMINER:	Jeffrey Zweizig	DATE CONSIDERED:	8/16/99
Examiner			
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant			



**4**

**FCS0000414**



Attorney's Docket No.: 003692.P036



Patent

GP 2816  
D. Scott  
7/28/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**BALAKRISHNAN, ET AL**

Examiner: Not Yet Assigned

Application Number: 09/080,774

Group Art Unit: 2816

Filed: May 18, 1998

For: OFF-LINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER

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MAY 26 1999

Assistant Commissioner for Patents  
Washington, D.C. 20231

TECHNOLOGY CENTER 2800

**POWER OF ATTORNEY BY ASSIGNEE  
AND REVOCATION OF PREVIOUS POWERS**

Power Integrations, Inc. ("assignee"), a California corporation having a place of business at 477 N. Mathilda Avenue, Sunnyvale, California, 94086, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent application and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment document that evidences the placement of title in the assignee and upon information and belief that assignment documents were recorded in the U.S. Patent and Trademark Office on May 18, 1998, at reel 9195, frame 0745.

Pursuant to 37 C.F.R. §§ 1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; Amy M. Armstrong, Reg. No. 42,265; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadecou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Yong S. Choi, Reg. No. P43,324; Thomas M. Coester, Reg. No. 39,637; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; Diru Grula, Reg. No. P42,996; David R.

003692.P036

-1-

(rev. 5/99)

FCS0000415


Halvorson, Reg. No. 33,395; Thomas A. Hassing, Reg. No. 36,159; Phuong-Quan Hoang, Reg. No. 41,839; Willmore F. Holbrow III, Reg. No. P41,845; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Michael J. Malle, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. 42,004; Thinh V. Nguyen, Reg. No. 42,034; Kimberley G. Nobles, Reg. No. 38,255; Babak Redjaian, Reg. No. 42,096; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Anand Sethuraman, Reg. No. P43,351; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,129; George G. C. Tseng, Reg. No. 41,355; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Stephen Warhola, Reg. No. 43,237; Charles T. J. Weigell, Reg. No. 43,398; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys, and James A. Henry, Reg. No. 41,064; Daniel E. Ovanezian, Reg. No. 41,238; Glenn E. Von Tersch, Reg. No. 41,364; and Chad R. Walsh, Reg. No. 43,235; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Pursuant to 37 C.F.R. § 3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

Send all future correspondence to Bradley J. Bereznek, Esq., Reg. No. 33,474, Blakely, Sokoloff, Taylor, & Zafman LLP, 12400 Wilshire Boulevard, Seventh Floor, Los Angeles, California 90025, and direct all telephone calls to the same at (408) 720-8598.

Assignee of Interest: POWER INTEGRATIONS, INC.  
(Type or Print)

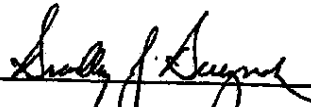
Dated: 5-14-99

By:   
Name: Clifford J. Walker  
(Type or Print)  
Title: Vice President of Corporate Development  
(Type or Print)

Address of Assignee of Interest:  
477 N. Mathilda Avenue  
Sunnyvale, CA 94086  
USA

Respectfully submitted,


BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/11/99 By   
Name: Bradley J. Berezna  
(Type)  
Reg. No.: 33,474

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**  
**(37 C.F.R. § 1.8(a))**

I hereby certify that the foregoing Power of Attorney is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on May 17, 1999.

Vivian Y. Buiton  
Name of Person Mailing Correspondence  
 5/17/99  
Signature Date

003692.P036

-3-

(rev. 5/99)

FCS0000417

**5**

**FCS0000418**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILED DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
--------------------	------------	-----------------------	---------------------

09/080,774 05/18/98 Balakrishnan et al.

EXAMINER

ART UNIT PAPER NUMBER

2816 5

DATE MAILED: 7-28-99

This is in response to the Power of Attorney filed

05-18-99

- ☐ 1. The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.
- ☒ 2. The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record. (37 CFR 1.33).
- ☐ 3. The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

This is a communication from the  
Patent and Trademark Office

- ☒ 4. The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the below-noted address as provided by 37 CFR 1.33.
- ☐ 5. The Power of Attorney in this application is not accepted for the reason(s) checked below:
- ☐ a. The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73 (b) has not been received.
- ☐ b. The person signing for the assignee has omitted their empowerment to sign on behalf of the assignee.
- ☐ c. The inventor(s) is without authority to appoint attorneys since the assignee has intervened as provided by 37 CFR 3.71.
- ☐ d. The signature of \_\_\_\_\_, a co-inventor in this application, has been omitted. The Power of Attorney will be entered upon receipt of confirmation signed by said co-inventor.
- ☐ e. The person(s) appointed in the Power of Attorney is not registered to practice before the U. S. Patent & Trademark Office.
- ☐ f. The revocation is not signed by the applicant, the assignee of the entire interest, or one particular principal attorney having the authority to revoke.

Bradley J. Berezna  
Blakely, Sokoloff, Taylor & Zafman  
12400 Wilshire Blvd, Suite 500  
Los Angeles CA 90025

*Deborah Scott*  
This is a communication from the  
Patent and Trademark Office

RETAIN THIS COPY IN THE APPLICATION FILE COPY A

FCS0000419

**6**

**FCS0000420**



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NO.	FLING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/090,774 05/18/98 BALAKIRSHNAN

B 233/248

EXAMINER

MM32/0819

LYON & LYON  
 FIRST INTERSTATE WORLD CENTER  
 633 W FIFTH STREET 47TH FLOOR  
 LOS ANGELES CA 90071

ART. 17.11 - PAPER NUMBER

2816  
 DATE MAILED

08/18/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

<b>Office Action Summary</b>	Application No. <b>09/080,774</b>	App. Inventor <b>Rajakrishnan et al.</b>	
	Examiner <b>Jeffrey Zweig</b>	Group Art Unit <b>2816</b>	

☒ Responsive to communication(s) filed on 5/18/98

☐ This action is FINAL.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 7 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

**Disposition of Claims**

☒ Claim(s) 1-37 is/are pending in the application.

Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

☐ Claim(s) \_\_\_\_\_ is/are allowed.

☐ Claim(s) \_\_\_\_\_ is/are rejected.

☐ Claim(s) \_\_\_\_\_ is/are objected to.

☒ Claims 1-37 are subject to restriction or election requirement.

**Application Papers**

☒ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-848.

☒ The drawing(s) filed on 5/18/98 is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. § 119**

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

**Attachment(s)**

☐ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1448, Paper No(s). 3

☐ Interview Summary, PTO-413

☒ Notice of Draftsperson's Patent Drawing Review, PTO-848

☐ Notice of Informal Patent Application, PTO-152

— SEE OFFICE ACTION ON THE FOLLOWING PAGES —



Application/Control Number: 09/080,774

Page 2

Art Unit: 2816

*Drawings*

1. Fig. 1 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g).

*Election/Restriction*

2. This application contains claims directed to the following patentably distinct species of the claimed invention:

Group I: claims 1-10 & 29-37 directed toward a PWM circuit with a frequency variation circuit; and

Group II: claims 11-28 directed toward a PWM circuit with a soft start circuit.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, no claims are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations

FCS0000423

Application/Control Number: 09/080,774

Page 3

Art Unit: 2816

of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

3. Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a petition under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(f).

FCS0000424

Application/Control Number: 09/080,774

Page 4

Art Unit: 2816

***Conclusion***

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey Zweizig whose telephone number is (703) 305-7243. The examiner can normally be reached on Monday through Friday from 7:00 to 3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Callahan, can be reached on (703) 308-4876. The fax phone number for this Group is (703) 308-7722.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

JZ

August 17, 1999

*Jeffrey Zweizig*  
Jeffrey Zweizig

Patent Examiner

Art Unit 2816

FCS0000425

**MISSING PAGE(S) FROM THE  
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#6 - PTO 948 FORM

**Patent Imaging Corporation  
Patent Legal and Scientific Information Service  
2001 Jefferson Davis Highway  
Crystal Plaza One, Suite 600  
Arlington, VA 22202-3610  
(703) 553-0000**

**FCS0000426**

**7**

**FCS0000427**



003892.P036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Balakrishnan

Serial No.: 09/080,774

Filed: May 18, 1998

For: OFFLINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER

Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage  
for an airmail addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231 as:

November 2, 1999

Melanie Besecker

Melanie Besecker 11-2-99

PETITION FOR EXTENSION OF TIME PURSUANT TO 37 C.F.R. § 1.138 (a)

Sir:

Applicant respectfully requests a two-month extension of time to file a  
Response to the Restriction Requirement mailed August 18, 1998. The  
extended period expires on November 18, 1999.

A check in the amount of \$380.00 is enclosed to cover the fee for a two-  
month extension of time. If any additional fee is required, please charge Deposit  
Account No. 02-2686. A duplicate of this Petition is enclosed for deposit account  
charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11-2, 1999

James Y. Go  
Reg. No. 40,621

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(425) 827-8600

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11/09/1999  
AL FC:116

FCS0000428



003692.P036

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Belakrishnan

Serial No.: 09/080,774

Filed: May 18, 1998

For: OFFLINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER

Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail with sufficient postage  
in an envelope addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231 and

November 2, 1999

Melanie Besecker

Melanie Besecker 11-2-99

PETITION FOR EXTENSION OF TIME PURSUANT TO 37 C.F.R. § 1.136 (a)

Sir:

Applicant respectfully requests a two-month extension of time to file a  
Response to the Restriction Requirement mailed August 18, 1999. The  
extended period expires on November 18, 1999.

A check in the amount of \$380.00 is enclosed to cover the fee for a two-  
month extension of time. If any additional fee is required, please charge Deposit  
Account No. 02-2666. A duplicate of this Petition is enclosed for deposit account  
charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11-2, 1999

James Y. Go  
Reg. No. 40,821

12400 Wilshire Blvd.  
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Los Angeles, CA 90025-1026  
(425) 827-8800

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FCS0000429

**8**

**FCS0000430**





Attorney's Docket No. 003692.P036

Patent

#8  
Class 18  
11/15/99

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

BALAKRISHNAN ET AL.

Examiner: Zweizig, Jeffrey.

Application No.: 09/080,774

Art Unit: 2816

Filed: May 18, 1998

For: OFF-LINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

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AMENDMENT AND RESPONSE TO ELECTION REQUIREMENT

Drawing Objection

In response to the Election Requirement mailed August 18, 1999, it is proposed by the Examiner that Figure 1 be designated by a legend such as -- Prior Art--. Accordingly, the Applicants submit a proposed drawing correction in the form of a red-mark original of Figure 1. The Applicants request the Examiner to approve the drawing. The Applicants will submit formal corrections for Figure 1, including any additional changes in response to form PTO-948, when the Application is allowed by the Examiner.

Restriction Requirement

In response to the Election Requirement mailed August 18, 1999, the Applicant hereby elects without traverse the invention of Group I, claims 1-10 and 29-37.

003692.P036  
Serial No. 09/080,774

- 1 -

Examiner: Zweizig, J.  
Art Unit: 2816

FCS0000431

If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

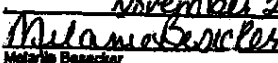
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 11-2-99

  
James Y. Go  
Reg. No. 40,824

12400 Wilshire Boulevard  
Seventh Floor  
Los Angeles, CA 90025-1026  
(425) 827-8600

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail with  
sufficient postage in an envelope addressed to the  
Commissioner of Patents and Trademarks, Washington,  
D.C. 20231 on

November 2, 1999  
  
Melana Besseler 11-2-99  
Melana Besseler Date

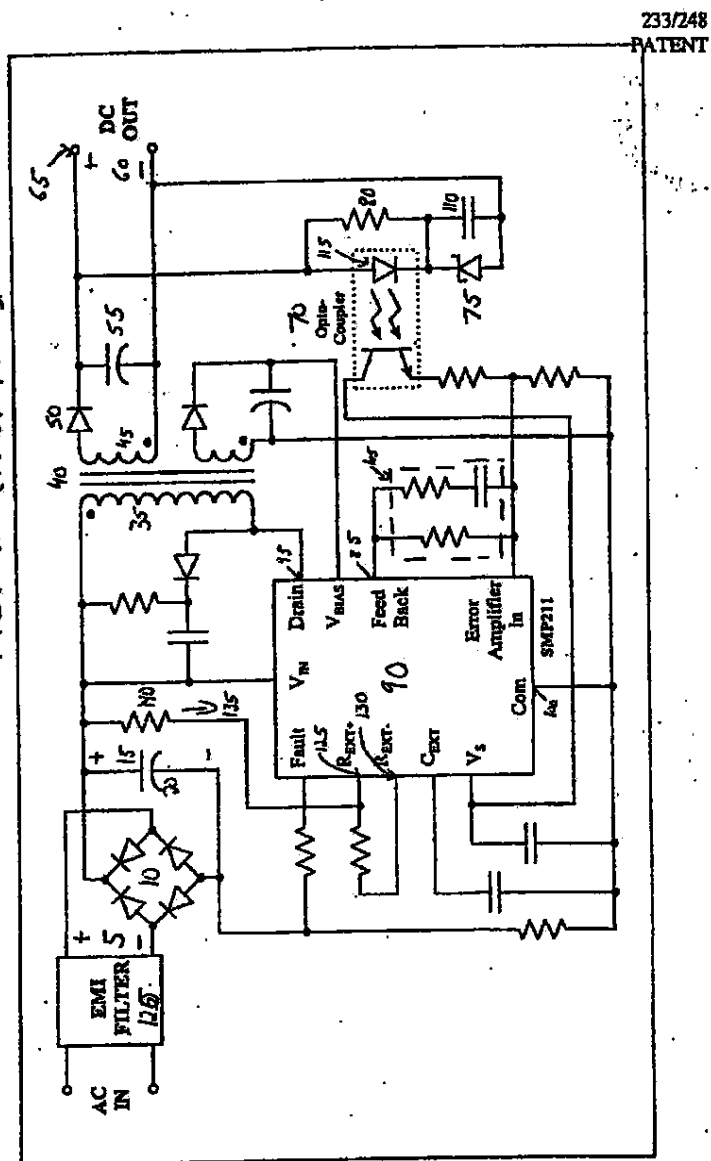
003692.P036  
Serial No. 09/088,774

- 2 -

Examiner: Zweizig, J.  
Art Unit: 2816

FCS0000432

FIG. 1. (Prior Art).



Express Mail No. EM563810381US  
Docket No: 233/248  
May 18, 1998





UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/080,774	05/18/98	BALAKIRSHNAN	B 233/248

MM22/1213

LYON & LYON  
 FIRST INTERSTATE WORLD CENTER  
 633 W FIFTH STREET 47TH FLOOR  
 LOS ANGELES CA 90071

EXAMINER

ZWEIZIG, J

ART UNIT PAPER NUMBER

2816

DATE MAILED:

12/13/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

PTO-600 (Rev. 200)

1- File Copy

FCS0000435

<b>Office Action Summary</b>	Application No. 09/080,774	Applicant(s) Balakrishnan et al.
	Examiner Jeffrey Zwabig	Group Art Unit 2816

☒ Responsive to communication(s) filed on 11/8/99

☐ This action is FINAL.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 463 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

**Disposition of Claims**

☒ Claim(s) 1-37 is/are pending in the application.

Of the above, claim(s) 11-28 is/are withdrawn from consideration.

☒ Claim(s) 1-3, 7, 8 & 10 is/are allowed.

☒ Claim(s) 4-6, 9 & 29-37 is/are rejected.

☐ Claim(s) \_\_\_\_\_ is/are objected to.

☐ Claims \_\_\_\_\_ are subject to restriction or election requirement.

**Application Papers**

☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-848.

☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

☒ The proposed drawing correction, filed on 11/8/99 is ☒ Approved ☐ Disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. § 119**

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

**Attachment(s)**

☒ Notice of References Cited, PTO-892

☐ Information Disclosure Statement(s), PTO-1449, Paper Note(s). \_\_\_\_\_

☐ Interview Summary, PTO-413

☐ Notice of Draftsperson's Patent Drawing Review, PTO-848

☐ Notice of Informal Patent Application, PTO-152

-- SEE OFFICE ACTION ON THE FOLLOWING PAGES --

Application/Control Number: 09/080,774

Page 2

Art Unit: 2816

***Election/Restriction***

1. This application contains claims directed to the following patentably distinct species of the claimed invention:

Group I: claims 1-10 & 29-37 directed toward a PWM circuit with a frequency variation circuit; and

Group II: claims 11-28 directed toward a PWM circuit with a soft start circuit.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, no claims are generic. Applicants have elected, without traverse, Group I: claims 1-10 & 29-37. Claims 11-28 have been withdrawn from consideration.

***Claim Rejections - 35 USC § 112***

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 4-6, 9 & 29-37 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

FCS0000437

Application/Control Number: 09/080,774

Page 3

Art Unit: 2816

In claim 4 lines 2 & 3, "a magnitude of said oscillation signal" should be changed to --said magnitude of said oscillation signal--.

In claim 9 line 8, "pulse width modulated switch" should be changed to just --switch-- (see claim 1 line 4).

In claim 9 line 9, the second occurrence of "first winding" should be changed to --second winding--.

Referring to the phrase "said first [second] winding capable of being coupled to a load", it is not understood if the winding is or is not coupled to the load.

In claim 29, the phrase "that provides a drive signal for a maximum time period of a time duration signal" is not understood. If the drive signal were applied for the maximum period of the duration, the drive signal would always be applied.

In claim 35 line 8, "regulation circuit" should be changed to just --switch-- (see claim 29 line 4).

In claim 35 line 9, the second occurrence of "first winding" should be --second winding--.

Referring to the phrase "said first [second] winding capable of being coupled to a load", it is not understood if the winding is or is not coupled to a load.

Claims 4, 9, 29 & 35 are indefinite. Claims 5, 6 & 30-37 are rejected as being dependent on an indefinite intervening claim.

FCS0000438



Application/Control Number: 09/080,774

Page 4

Art Unit: 2816

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 29, 35 & 37 are rejected under 35 U.S.C. 102(b) as being anticipated by Applicants' Prior Art Fig. 1.

Applicants' Prior Art Fig. 1 shows a first terminal 95, a second terminal Com, a switch/drive circuit 90 and a frequency variation circuit 140 as recited in claim 29.

Further shown is a rectifier 10, a capacitor 15, a first winding 35 and a second winding 45 as recited in claim 35.

Further shown is a feedback terminal (Error Amplifier in) as recited in claim 37.

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

FCS0000439

Application/Control Number: 09/080,774

Page 5

Art Unit: 2816

7. Claim 34 is rejected under 35 U.S.C. 103(a) as being unpatentable over Applicants' Prior Art Fig. 1.

Applicants' Prior Art Fig. 1 does not specify that the circuit is an integrated circuit as recited in claim 34. However, it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate Prior Art Fig. 1 as an integrated circuit for the benefit of implementing a compact single package. Claim 34 is obvious.

*Allowable Subject Matter*

8. The prior Art of record does not appear to disclose or suggest a PWM switch comprising an oscillator for generating a maximum duty cycle signal and a signal with a frequency range dependent on a frequency variation circuit as recited in claim 1.

*Conclusion*

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey Zweizig whose telephone number is (703) 305-7243. The examiner can normally be reached on Monday through Friday from 7:00 to 3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Callahan, can be reached on (703) 308-4876. The fax phone number for this Group is (703) 308-7722.

FCS0000440

Application/Control Number: 09/080,774

Page 6

Art Unit: 2816

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

JZ

December 13, 1999

*Jeff Zweig*  
Jeffrey Zweig

Patent Examiner

Art Unit 2816

FCS0000441

<b>Notice of References Cited</b>			Application No. 09/080,774		Applicant Belokobren et al.	
			Examiner Jeffrey Zwabig		Group Art Unit 2810	
			Page 1 of 1			
<b>U.S. PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS
A	—	—	None		—	—
B						
C						
D						
E						
F						
G						
H						
I						
J						
K						
L						
M						
<b>FOREIGN PATENT DOCUMENTS</b>						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						
<b>NON-PATENT DOCUMENTS</b>						
	DOCUMENT (including Abstract, Title, Summary, and Pertinent Pages)					DATE
U						
V						
W						
X						

**10**

**FCS0000443**



003692.P036

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Balakrishnan et al.

Serial No. 09/080,774

Filed: May 18, 1998

For: OFFLINE CONVERTER WITH  
SOFTSTART AND FREQUENCY  
JITTER

Examiner: J. Zweizig

Art Unit: 2816

#10/a  
3/22/00  
V. Varnell

Box Non-fee Amendment  
Assistant Commissioner for Patents  
Washington, DC 20231

AMENDMENT AND RESPONSE

Sir:

Responsive to the Office Action mailed December 13, 1999, the Applicants request the Examiner to enter the following amendments and to consider the following remarks.

IN THE DRAWINGS

The Applicants submit that reference numeral —405— was inadvertently labeled as "400" in Figure 5. In addition, the Applicants submit that reference numeral —400— was inadvertently omitted from Figure 6. Accordingly, the Applicants submit proposed drawing corrections in the form of red-mark originals of Figures 5 and 6. The Applicants request the Examiner to approve the drawings. The Applicants will submit formal corrections for Figures 5 and 6,

003692.P036  
Serial No. 09/080,774

- 1 -

Examiner: J. Zweizig  
Art Unit: 2816

FCS0000444

including any additional changes in response to form PTO-948, when the application is allowed by Examiner.

IN THE SPECIFICATION

On page 5, line 9, please replace "25" with -15--.

On page 16, line 8, please replace "input switch 435" with -input of switch 435--.

On page 18, line 5, please replace "signal 400" with -signal 405--.

On page 18, line 23, please replace "DC voltage 740" with -DC voltage 725--.

On page 20, line 19, please replace "for the AC" with -of the AC--.

IN THE CLAIMS

Please cancel claim 37 without prejudice.

Please amend claims 4, 9, 29, 31 and 35 as follows:

A1  
4. (Amended) The pulse width modulated switch of claim 1 further comprising a soft start circuit that provides a signal instructing said drive circuit to discontinue said drive signal when [a] said magnitude of said oscillation signal is greater than a magnitude of said frequency variation signal.

9. (Amended) The pulse width modulated switch of claim 1 further comprising;

A2  
Cont  
003682.P036  
Serial No. 09/080,774

- 2 - 29

Examiner: J. Zweig  
Art Unit: 2816

FCS0000445

a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving an AC mains signal and said rectifier output providing a rectified signal;

a power supply capacitor that receives said rectified signal and provides a substantially DC signal;

A2  
Cmcd  
a first winding comprising a first terminal and a second terminal, said first winding receiving said substantially DC signal, said second terminal of said first winding coupled to said first terminal of said [pulse width modulated] switch; and

a second winding magnetically coupled to said first winding[, said first winding capable of being coupled to a load].

---

|| 29. (Amended) A regulation circuit comprising:

a first terminal;

a second terminal;

a feedback terminal coupled to disable the regulation circuit;

A3  
Cmcd  
a switch comprising a control input, said switch allowing a signal to be transmitted between said first terminal and said second terminal according to a drive signal provided at said control input; [and]

a frequency variation circuit that provides a frequency variation signal;

an oscillator that provides an oscillation signal having a frequency range, said frequency of said oscillation signal varying within said frequency range according to said frequency variation signal, said oscillator further providing a maximum duty cycle signal comprising a first state and a second state; and

003692.P036  
Serial No. 09/080,774

- 3 -

30

Examiner: J. Zweig  
Art Unit: 2816

FCS0000446



A3  
Encl

a drive circuit that provides said drive signal [for a maximum time period of a time duration cycle;] when said maximum duty cycle signal is in said first state and said regulation circuit is not disabled.

[wherein said time duration of said cycle varies according to said frequency variation signal.]

A4 B 31. (Amended) The regulation circuit of claim 29 further comprising a soft start circuit that provides a signal instructing said drive circuit to discontinue said drive signal according to a magnitude of said frequency variation signal.

A5 35. (Amended) The regulation circuit of claim 29 further comprising:  
a rectifier comprising a rectifier input and a rectifier output, said rectifier input receiving an AC mains signal and said rectifier output providing a rectified signal;  
a power supply capacitor that receives said rectified signal and provides a substantially DC signal;

a first winding comprising a first terminal and a second terminal, said first winding receiving said substantially DC signal, said second terminal of said first winding coupled to said first terminal of said [regulation circuit] switch; and

a second winding magnetically coupled to said first winding, said first winding capable of being coupled to a load].

003692.P036  
Serial No. 09/080,774

- 4 - 31

A  
Examiner: J. Zweig  
Art Unit: 2618

FCS0000447

**REMARKS**

Claims pending in the instant application are numbered 1-10 and 29-37. The Applicants note with appreciation that claims 1-3, 7, 8 and 10 are allowed. Claims 4-6, 9 and 29-37 presently stand rejected. Claim 37 has been canceled without prejudice. Claims 4, 9, 29, 31 and 35 have been amended. The Applicants respectfully request reconsideration of the present application in view of the amendments and the following remarks.

***Revocation of Previous Powers Filed By Applicants***

The Applicants respectfully wish to remind the Examiner that a Revocation of Previous Powers was filed by the Applicants on May 14, 1999. For the Examiner's reference: (1) a photocopy of the Revocation of Previous Powers as filed by the Applicants and (2) a photocopy of a postcard received from the US Patent and Trademark Office evidencing receipt of the Revocation of Previous Powers is attached herewith. It is noted that at least the last two communications concerning the instant application have been incorrectly mailed by the US Patent and Trademark Office to the Applicants' former representatives instead of the Applicants' current representatives. Accordingly, the Applicants kindly wish to repeat their request that all future correspondence be mailed to Blakely Sokoloff Taylor and Zafman, LLP at the address listed in the Revocation of Previous Powers filed May 14, 1999.

003692.P038  
Serial No. 09/080,774

- 5 -

Examiner: J. Zweizig  
Art Unit: 2816

FCS0000448

*Specification and Drawing Amendments*

Upon further review of the present specification and drawings, the Applicants noted several minor typographical errors. The specification and drawings have been amended to correct these deficiencies.

*35 USC § 112 Rejections*

In the December 13, 1999 Office Action, claims 4-6, 9 and 29-37 were rejected under 35 USC § 112, second paragraph. The claims have been amended as suggested by the Office Action and the claim language identified as not being understood in the Office Action has been amended. Accordingly, the instant section 112 rejections are now moot.

*35 USC § 102 Rejections*

In the December 13, 1999 Office Action, claims 29, 35 and 37 are rejected under 35 USC § 102(b) as being anticipated by Applicants' Prior Art Figure 1.

Claim 29 as presently amended now expressly recites a regulation circuit that includes an oscillator that provides a maximum duty cycle signal and an oscillation signal having frequency range that is varied according to a frequency variation signal. The Applicants' Prior Art Figure 1 fails to disclose, teach or suggest such limitations. Accordingly, the Applicants respectfully submit that the instant section 102 rejection has been overcome.

*35 USC § 103 Rejection*

In the December 13, 1999 Office Action, claim 34 is rejected under 35 USC § 103(a) as being unpatentable over Applicants' Prior Art Figure 1.

003692.P036  
Serial No. 09/080,774

- 6 -

Examiner: J. Zweizig  
Art Unit: 2816

FCS0000449

Claims 34 depends on claim 29 and therefore distinguishes for at least the same reason as independent claim 29 in addition to adding further limitations of its own. Accordingly, the Applicants respectfully submit that the instant section 103 rejection has been overcome.

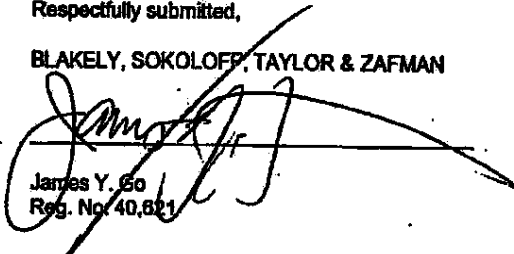
*Charge Deposit Account*

Please charge our Deposit Account No. 02-2666 for any additional fee due in this matter.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Date: 3-10-00

  
James Y. So  
Reg. No. 40,621

**FIRST CLASS CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on March 10, 2000  
Date of Deposit

Melanie Bessecker  
Name of Person Mailing Correspondence

Melanie Bessecker 3-10-00  
Signature Date

003692.P036  
Serial No. 09/080,774

- 7 -

Examiner: J. Zweig  
Art Unit: 2816

FCS0000450

233/248  
PATENT

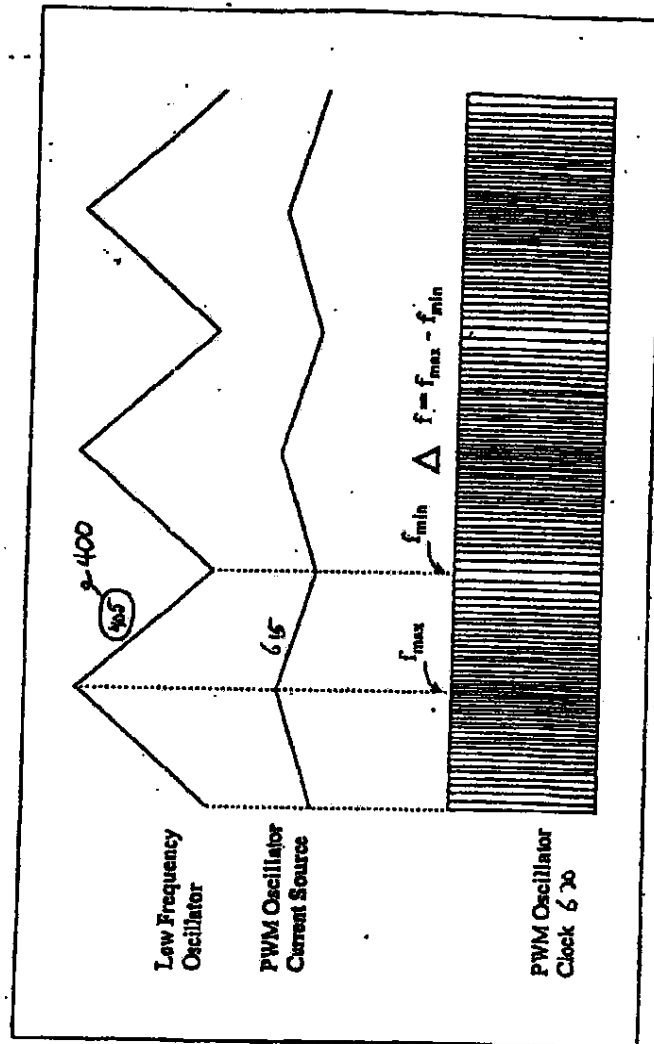
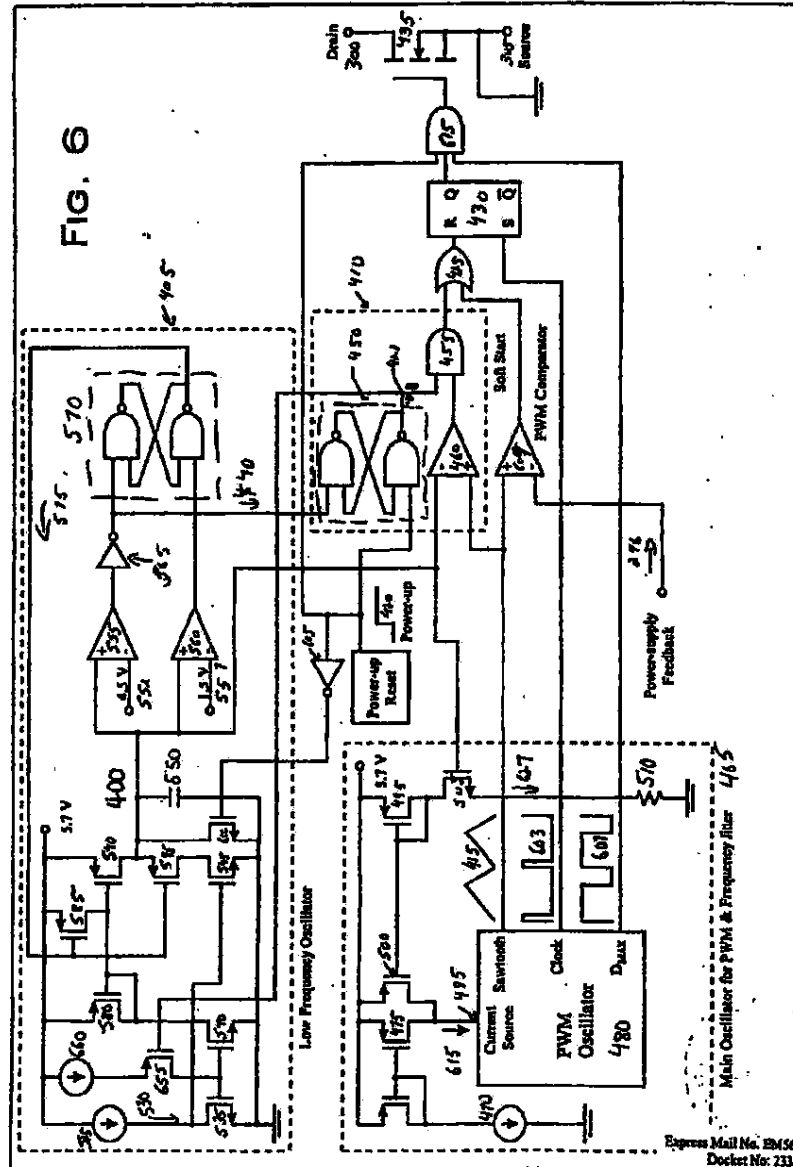


FIG. 5

approved  
3/2  
4/4/00

Express Mail No. EM563818328US  
Docket No: 233/248  
May 18, 1998

**Fig. 6**



Express Mail No. EM56181032KUS  
Docket No: 233/248  
May 12, 1994



Copy

Serial/Patent No.: 09/080,774 Filing/Issue Date: May 18, 1998  
 Client: Power Integrations, Inc.  
 Title: OFF-LINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY FILTER  
 STIZ File No.: 003692 P036 Atty/Secy Initials: RTH:wyh  
 Date Mailed: May 17, 1998 Docket Due Date: —

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

<input type="checkbox"/> Amendment/Response (____ pgs.)	<input type="checkbox"/> Express Mail No.:	<input type="checkbox"/> Check No.:
<input type="checkbox"/> Appeal Brief (____ pgs.) (to duplicate)	<input type="checkbox"/> Mailed/Date of Extension of Time	<input type="checkbox"/> Amt:
<input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)	<input type="checkbox"/> Mailed/Date of Renewal & PTO Fee (____ pgs.)	<input type="checkbox"/> Check No.:
<input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)	<input type="checkbox"/> Form For Transmittal	<input type="checkbox"/> Amt:
<input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)	<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)	<input type="checkbox"/> Petition for Extension of Time	
<input type="checkbox"/> Application - Rule 1.53(b) CPA Transmittal (____ pgs.)	<input type="checkbox"/> Petition for:	
<input type="checkbox"/> Application - Design (____ pgs.)	<input type="checkbox"/> Petition:	
<input type="checkbox"/> Application - PCT (____ pgs.)	<input checked="" type="checkbox"/> Power of Attorney (____ pgs.) (and Revocation)	
<input type="checkbox"/> Application - Provisional (____ pgs.)	<input type="checkbox"/> Preliminary Amendment (____ pgs.)	
<input type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____ pgs.)	
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Responses to Notice of Missing Parts	
<input type="checkbox"/> Declaration & PTA (____ pgs.)	<input type="checkbox"/> Small Entity Declaration for today, inventor-owned business	
<input type="checkbox"/> Electronic Data & City of Copyright Transmittal	<input type="checkbox"/> Transmittal Letter, in duplicate	
<input type="checkbox"/> Drawings: _____ of sheets include _____	<input type="checkbox"/> Fee Transmittal, in duplicate	
<input type="checkbox"/> Other: _____		

FCS0000453



COPY

Attorney's Docket No.: 003692.P036

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

**BALAKRISHNAN, ET AL**

Application Number: 09/080,774

Filed: May 18, 1998

For: OFF-LINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTER

Examiner: Not Yet Assigned

Group Art Unit: 2816

Assistant Commissioner for Patents  
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE  
AND REVOCATION OF PREVIOUS POWERS**

Power Integrations, Inc. ("assignee"), a California corporation having a place of business at 477 N. Mathilda Avenue, Sunnyvale, California, 94086, certifies that to the best of assignee's knowledge and belief it is the assignee of the entire right, title, and interest in and to the above-referenced patent application and represents that the undersigned is a representative authorized and empowered to sign on behalf of the assignee.

Assignee has reviewed the assignment document that evidences the placement of title in the assignee and upon information and belief that assignment documents were recorded in the U.S. Patent and Trademark Office on May 18, 1998, at reel 9195, frame 0745.

Pursuant to 37 C.F.R. §§ 1.36 and 3.71, the assignee hereby revokes all powers of attorney previously given and appoints Farzad E. Amini, Reg. No. P42,261; Aloysius T. C. AuYeung, Reg. No. 35,432; Amy M. Armstrong, Reg. No. 42,265; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Bradley J. Bereznek, Reg. No. 33,474; Michael A. Bernadicon, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; Gregory D. Caldwell, Reg. No. 39,926; Kent M. Chen, Reg. No. 39,630; Yong S. Choi, Reg. No. P43,324; Thomas M. Coester, Reg. No. 39,637; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Robert Andrew Diehl, Reg. No. 40,992; Tarek N. Fahmi, Reg. No. 41,402; James Y. Go, Reg. No. 40,621; Dinu Gruia, Reg. No. P42,996; David R.

003692.P036

-1-

(rev. 5/99)

FCS0000454



Halvorson, Reg. No. 33,395; Thomas A. Hassing, Reg. No. 36,159; Phuong-Quan Hoang, Reg. No. 41,839; Willmore F. Holbrow III, Reg. No. P41,845; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Dag H. Johansen, Reg. No. 36,172; William W. Kidd, Reg. No. 31,772; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Paul A. Mendonsa, Reg. No. 42,879; Darren J. Milliken, Reg. 42,004; Thinh V. Nguyen, Reg. No. 42,034; Kimberley G. Nobles, Reg. No. 38,255; Babak Redjain, Reg. No. 42,096; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Schaller, Reg. No. 31,195; Anand Sethuraman, Reg. No. P43,351; Charles E. Shemwell, Reg. No. 40,171; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Vincent P. Tassinari, Reg. No. 42,179; Edwin H. Taylor, Reg. No. 25,128; George G. C. Teang, Reg. No. 41,355; Lester J. Vincent, Reg. No. 31,460; John Patrick Ward, Reg. No. 40,216; Stephen Warhola, Reg. No. 43,237; Charles T. J. Weigell, Reg. No. 43,398; Ben J. Yorks, Reg. No. 33,609; and Norman Zafman, Reg. No. 26,250; my attorneys, and James A. Henry, Reg. No. 41,064; Daniel E. Ovanezian, Reg. No. 41,236; Glenn E. Von Tersch, Reg. No. 41,364; and Chad R. Walsh, Reg. No. 43,235; my patent agents, of BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, and James R. Thein, Reg. No. 31,710, my patent attorney; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Pursuant to 37 C.F.R. § 3.71, the assignee hereby states that prosecution of the above-referenced patent application is to be conducted to the exclusion of the inventor(s).

Send all future correspondence to Bradley J. Bereznek, Esq., Reg. No. 33,474, Blakely, Sokoloff, Taylor, & Zafman LLP, 12400 Wilshire Boulevard, Seventh Floor, Los Angeles, California 90025, and direct all telephone calls to the same at (408) 720-8598.

Assignee of Interest: POWER INTEGRATIONS, INC.  
(Type or Print)

Dated: 5-14-99

By:

Clifford J. Walker  
(Type or Print)

Title: Vice President of Corporate Development  
(Type or Print)

Address of Assignee of Interest:  
477 N. Mathilda Avenue  
Sunnyvale, CA 94086  
USA

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 5/17/99 By *Bradley J. Berezna*  
Name: Bradley J. Berezna  
(Type)  
Reg. No.: 33,474

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, California 90025-1026  
(408) 720-8598

**FIRST CLASS CERTIFICATE OF MAILING**  
**(37 C.F.R. § 1.8(a))**

I hereby certify that the foregoing Power of Attorney is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on \_\_\_\_\_

May 17, 1999  
\_\_\_\_\_  
Vivian Y. Bullen  
Name of Person Mailing Correspondence  
*Vivian Y. Bullen* 5/17/99  
Signature Date

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN**

Attorneys at Law

1275 Quince Orchard Road

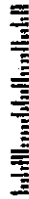
Suite 200  
Gaithersburg, Maryland 20878-4000

003692.P036 RJB:vyb



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WASHINGTON, D.C. 20231

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UNITED STATES DEPARTMENT OF COMMERCE  
 Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/060,774	05/18/98	BALAKRISHNAN	B 233/248

BRADLEY J. BEREZNAK, ESQ.  
 BLAKELEY, SOKOLOFF, TAYLOR, ZAFMAN LLP  
 12400 WILSHIRE BOULEVARD  
 SEVENTH FLOOR  
 LOS ANGELES CA 90025

MMC2/0410

EXAMINER

ZWEITZIG, J

ART UNIT

PAPER NUMBER

2816

DATE MAILED: 04/10/00

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

PTO-502 (Rev. 2/99)

1- Pile Copy

FCS0000459

<b>Notice of Allowability</b>	Application No. 09/080,774	Applicant(s) Bakelidrehan et al.
	Examiner Jeffrey Zwolsky	Group Art Unit 2816

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

☒ This communication is responsive to the amendment filed 3/20/00.

☒ The allowed claim(s) is/are 1-10 & 29-35.

☐ The drawings filed on \_\_\_\_\_ are acceptable.

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(e)-(f).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been  
☐ received.  
☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_  
☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.

☒ Applicant MUST submit NEW FORMAL DRAWINGS

☒ because the originally filed drawings were declared by applicant to be informal.  
☐ including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. \_\_\_\_\_.  
☒ including changes required by the proposed drawing correction filed on 3/20/00, which has been approved by the examiner.  
☐ including changes required by the attached Examiner's Amendment/Comment.


Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

Attachment(s)

☐ Notice of References Cited, PTO-892  
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_  
☐ Notice of Draftsperson's Patent Drawing Review, PTO-948  
☐ Notice of Informal Patent Application, PTO-152  
☐ Interview Summary, PTO-413  
☒ Examiner's Amendment/Comment  
☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  
☐ Examiner's Statement of Reasons for Allowance

  
 Primary Examiner  
 2816

B

Application/Control Number: 09/080,774

Art Unit: 2816

# 11/B  
4/6/00  
Page 2

***Examiner's Amendment***

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Claims 11-28 have been canceled.

Claims 11-28 have been canceled so that the remaining claims and the present application can be allowed. Authorization for this examiner's amendment was given in a telephone interview with James Go on 4/6/00.

***Conclusion***

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Jeffrey Zweizig whose telephone number is (703) 305-7243. The Examiner can normally be reached on Monday through Friday from 7:00 am to 2:00 pm eastern time.

FCS0000461

Application/Control Number: 09/080,774

Page 3

Art Unit: 2816

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Callahan, can be reached on (703) 308-4876. The fax phone number for this Group is (703) 308-7722.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

JZ

April 10, 2000

 Jeffrey Zweizig

Primary Examiner

Art Unit 2816

FCS0000462





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**NOTICE OF ALLOWANCE AND ISSUE FEE DUE**

MMC2/0410

BRADLEY J. BEREZNAK, ESQ.  
BLAKELEY, BOKOLOFF, TAYLOR, ZAFMAN LLP  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES CA 90025

APPLICATION NO.	FILED DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/080,774	05/18/98	018	ZWEIZIG, J	2816 04/10/00
First Named Applicant		35 USC 154(b) term ext. = 0 Days.		
BALAKRISHNAN				
TITLE OF INVENTION OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER				

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPL. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2	233/248	327-172.000	K88 UTILITY	NO	\$1210.00	07/10/00

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.**

**THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.**

**HOW TO RESPOND TO THIS NOTICE:**

**I. Review the SMALL ENTITY status shown above.**

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
- If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- Pay FEE DUE shown above, or
- File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.

**II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.**

**III. All communications regarding this application must give application number and batch number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.**

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

PATENT AND TRADEMARK OFFICE COPY

PTOL-45 (REV. 10-95) Approved for use through 03/30/00. (2051-0038)

U.S. GPO: 1999-184-657/2000

FCS0000463





003692.P036

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

BALAKRISHNAN et al.

Examiner: Zweitzig, Jeffrey

Serial No. 09/080,774

Art Unit: 2816

Filed: May 18, 1998

For: OFF-LINE CONVERTER WITH  
INTEGRATED SOFTSTART AND  
FREQUENCY JITTERAssistant Commissioner for Patents  
Washington, D.C. 20231Receipt  
FILE COPY  
#12REQUEST TO CORRECT AN ERROR IN THE FILING RECEIPT

Dear Sir:

Applicant's respectfully note that the name of the inventor on the Filing Receipt for the above-identified application is incorrect. That Filing Receipt erroneously lists the inventor as BALU BALAKIRSHNAN. The correct name of the inventor is in fact BALU BALAKRISHNAN (emphasis added). Enclosed herewith is a copy of filing receipt with the corrections marked in red ink. Also enclosed is a check in the amount of \$25.00 for a corrected filing receipt under 37 U.S.C. § 1.19(h).

Therefore, correction with respect to the inventors listed in the Filing Receipt of the present application is respectfully requested. Please change the list of inventors of the present application to BALU BALAKRISHNAN.

01/12/2000 132012E 00000000 0000074

01 FEB 2000

25.00 US

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP

Dated: 1-5, 2000

James Y. Go  
Reg. No. 40,611

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on January 5, 2000

Date of Deposit

Melanie Resacker

Name of Person Mailing Correspondence  
Signature1-5-2000  
Date

FCS0000465

/TO-103X  
(Rev. 2-95)

FILING RECEIPT


 UNITED STATES DEPARTMENT OF COMMERCE #12  
 Patent and Trademark Office  
 ASSISTANT SECRETARY AND COMMISSIONER  
 OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GHP ART UNIT	PL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/080,774	05/18/98	2816	\$1,246.00	233/248	9	37	4

 LYON & LYON  
 FIRST INTERSTATE WORLD CENTER  
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 LOS ANGELES CA 90071

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Connection Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted therein.

Applicant(s)

 BALU BALAKRISHNAN, SARATOGA, CA; ALEX DJENGUERIAN,  
 SARATOGA, CA; LEIF LUND, SAN JOSE, CA.

FOREIGN FILING LICENSE GRANTED 06/03/98

TITLE

OFFLINE CONVERTER WITH INTEGRATED SOFTSTART AND FREQUENCY JITTER

PRELIMINARY CLASS: 327

 RECEIVED  
 JUN 8 8 1998  
 U.S. PROSECUTION

(see reverse)

FCS0000466



B  
Docket No.: 003692.P036

#13 *OPH*  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Balakrishnan et al.

Application No.: 09/080,774

Filed: May 18, 1998

For: OFF-LINE CONVERTER WITH INTEGRATED  
SOFTSTART AND FREQUENCY JITTER



Examiner: J. Zwelzig

Art Group: 2816

Batch No: K83

TRANSMITTAL OF FORMAL DRAWINGS

Attn: Official Draftsman  
Assistant Commissioner for Patents  
Washington, D.C. 20231

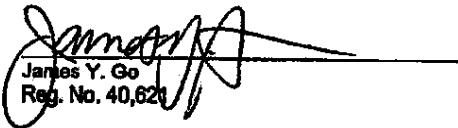
Dear Sir:

Enclosed herewith for filing in the above-identified U.S. patent application are the  
formal drawings, Figures 1, 2, 3, 4, 5, 6, 7, 8 and 9 (9) sheets.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 5-18-00

  
James Y. Go  
Reg. No. 40,621

12400 Wilshire Boulevard  
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(425) 827-8600

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May 18, 2000

Melanie Bessner  
typed or printed name of person mailing correspondence

Melanie Bessner 5-18-00  
Signature Date

FCS0000468

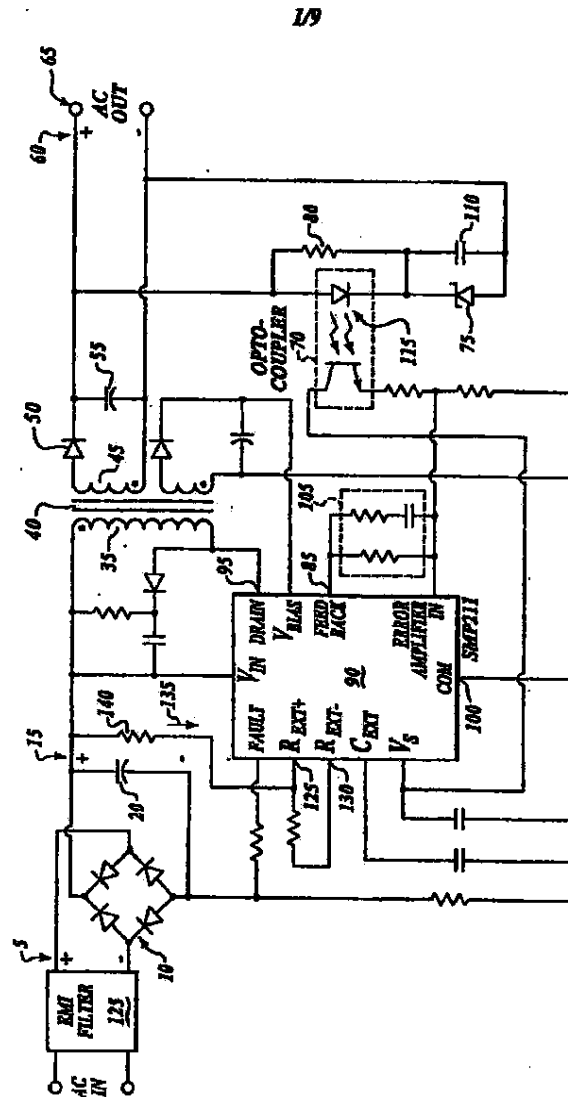


Fig. 1 (PRIOR ART)

6107851

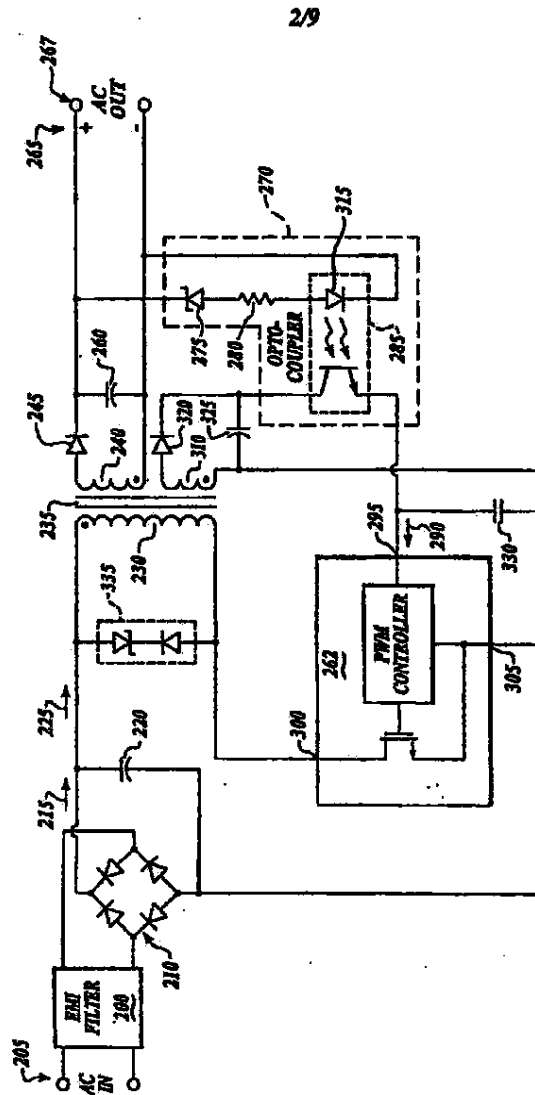


Fig. 2



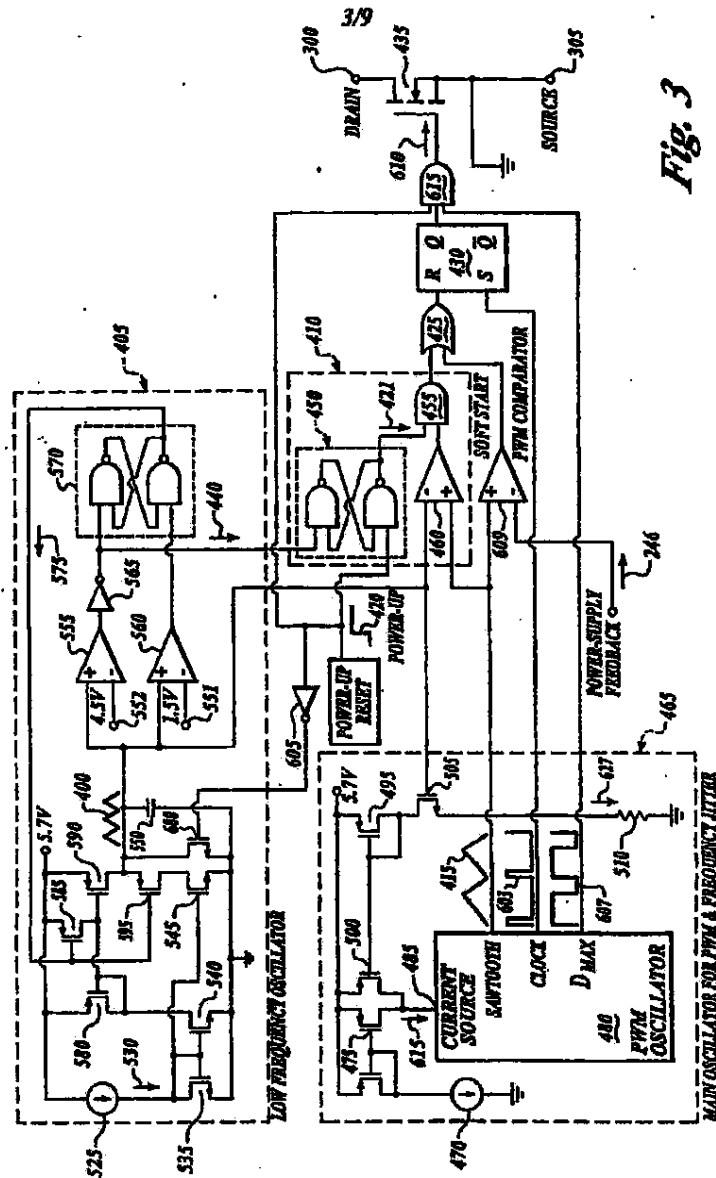
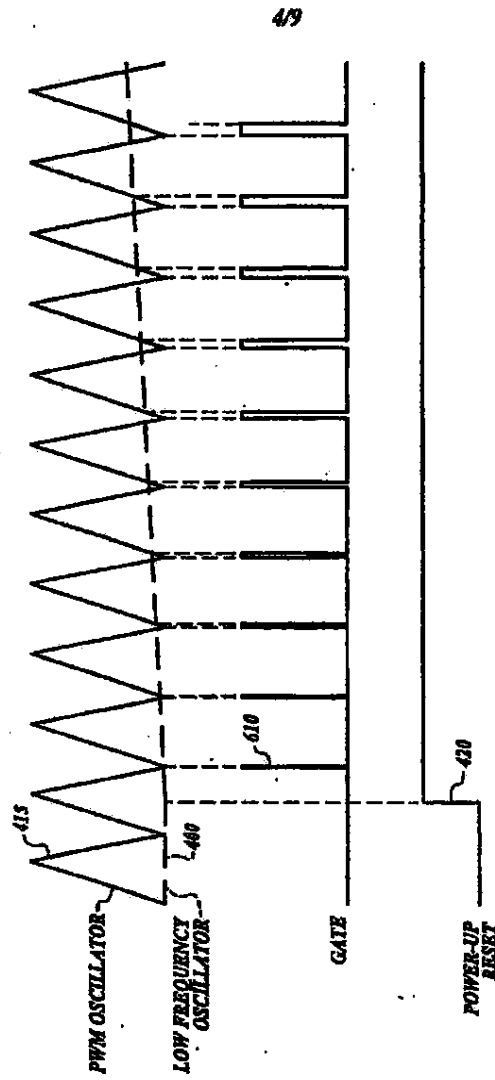


Fig. 3



*Fig. 4*

5/9

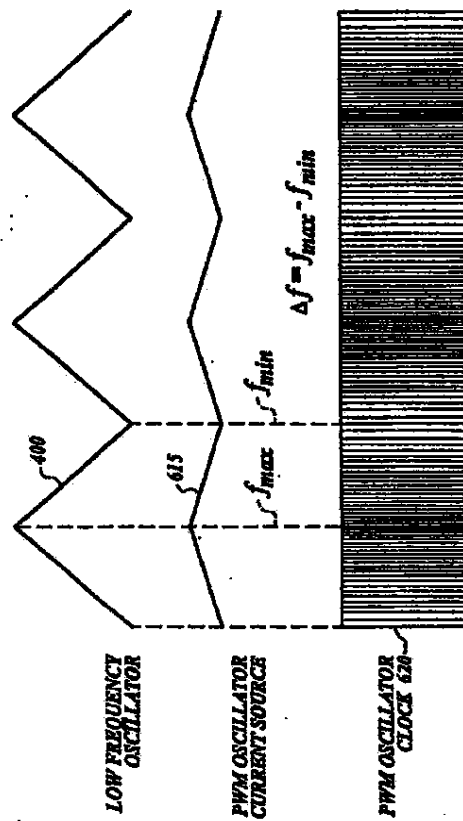
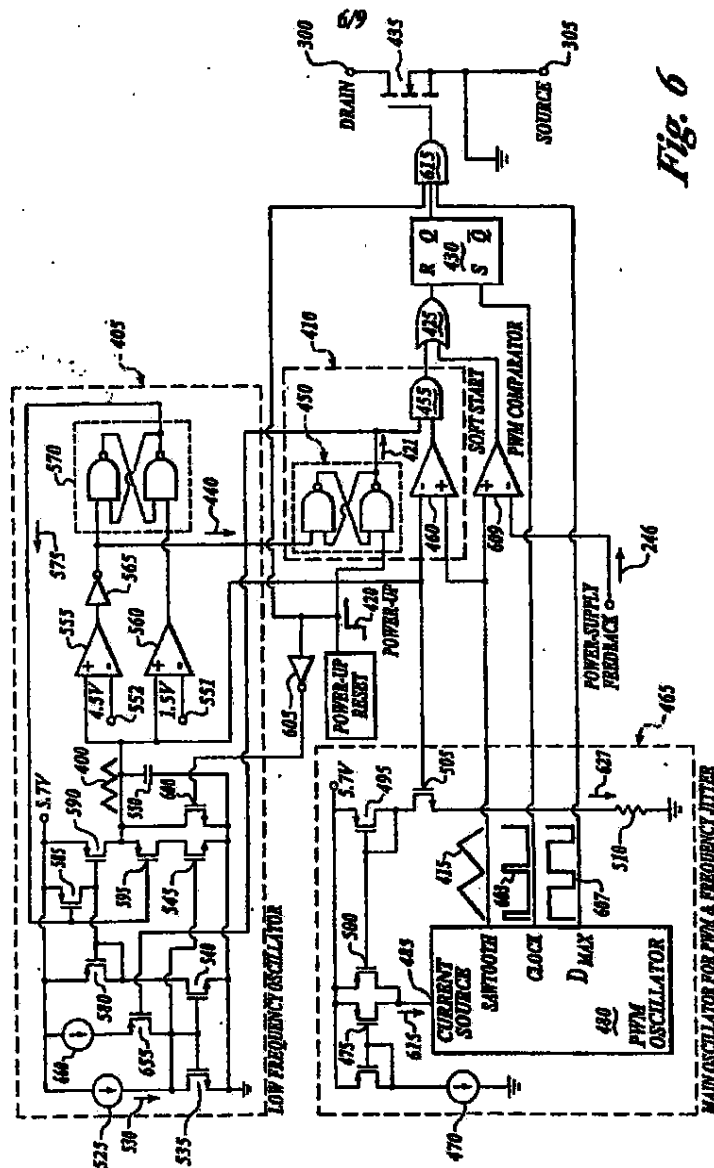


Fig. 5

FCS0000473



7/9

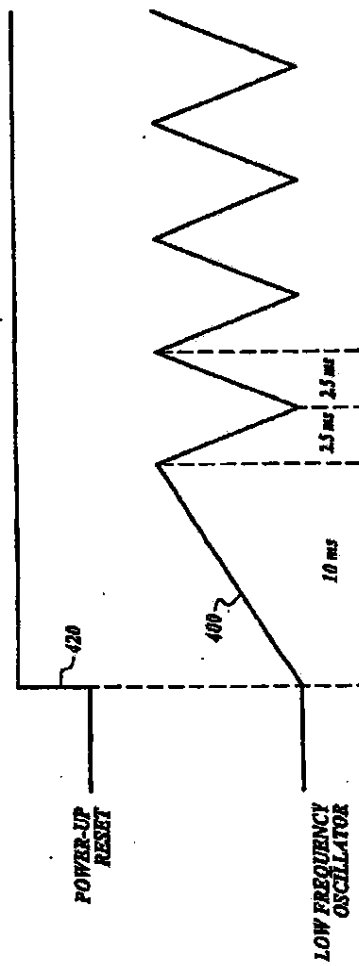
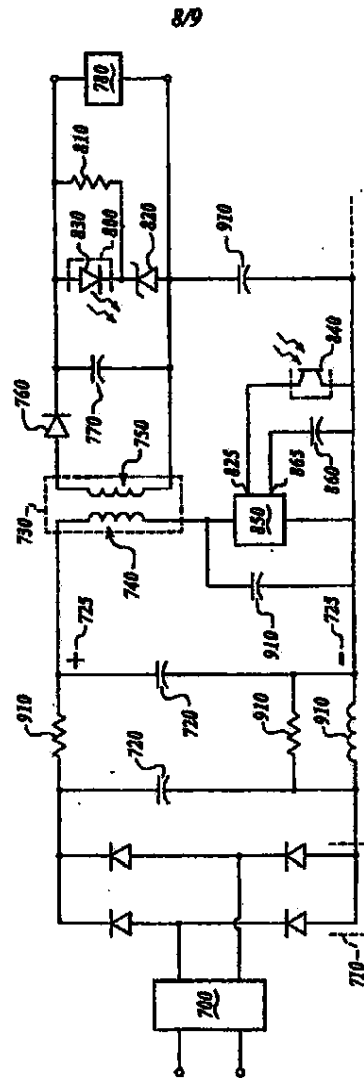


Fig. 7

FCS0000475



**Fig. 8**

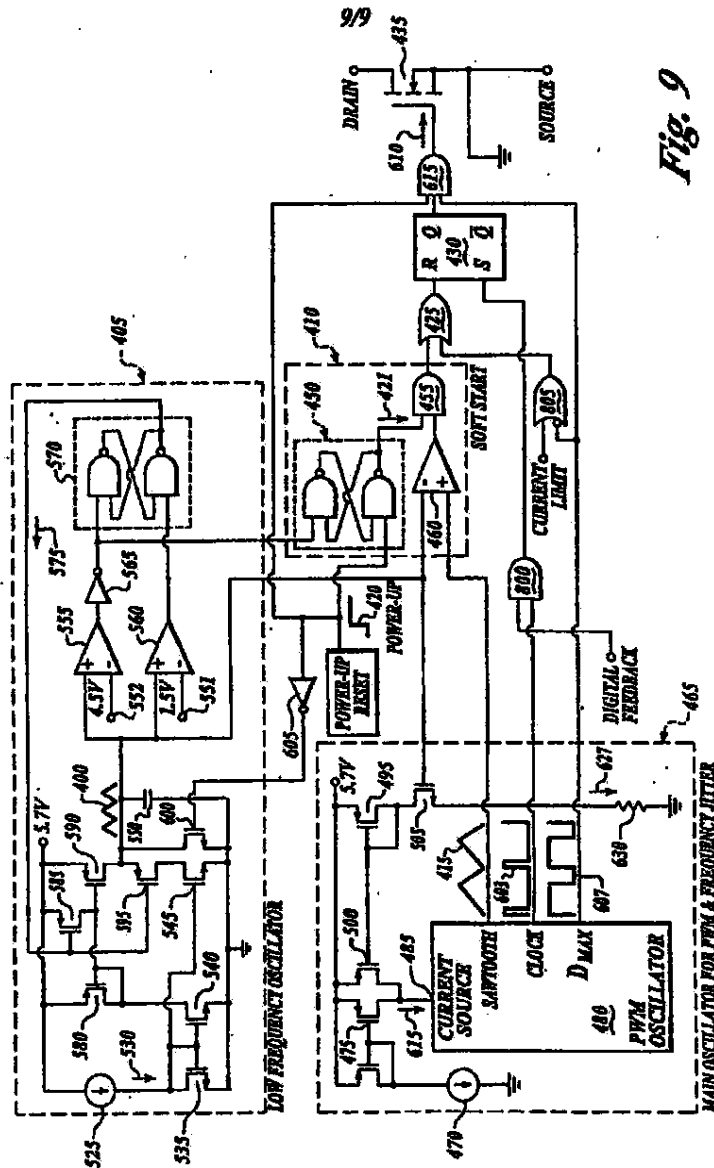


Fig. 9